

GUIDANCE

Guidance on Information Requirements and Chemical Safety Assessment

Chapter R.15: Consumer exposure assessment

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LEGAL NOTE

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8 9 This document aims to assist users in complying with their obligations under the REACH Regulation. However, users are reminded that the text of the REACH Regulation is the only authentic legal reference and that the information in this document does not constitute legal advice. Usage of the information remains under the sole responsibility of the user. The European Chemicals Agency does not accept any liability with regard to the use that may be made of the information contained in this document.

Guidance on Information Requirements and Chemical Safety Assessment Chapter R.15: Consumer exposure assessment

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Preface

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This document describes the information requirements under the REACH Regulation with regard to substance properties, exposure, use and risk management measures, and the chemical safety assessment. It is part of a series of guidance documents that are aimed to help all stakeholders with their preparation for fulfilling their obligations under the REACH Regulation. These documents cover detailed guidance for a range of essential REACH processes as well as for some specific scientific and/or technical methods that industry or authorities need to make use of under the REACH Regulation.

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- The original versions of the guidance documents were drafted and discussed within the REACH
- 12 Implementation Projects (RIPs) led by the European Commission services, involving
- 13 stakeholders from Member States, industry and non-governmental organisations. After
- 14 acceptance by the Member States competent authorities the guidance documents had been
- 15 handed over to ECHA for publication and further maintenance. Any updates of the guidance
- are drafted by ECHA and are then subject to a consultation procedure, involving stakeholders
- 17 from Member States, industry and non-governmental organisations. For details of the
- 18 consultation procedure, please see:
- 19 http://echa.europa.eu/documents/10162/13559/mb 63 2013 consultation procedure for qui
- 20 dance revision 2 en.pdf

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- The guidance documents can be obtained via the website of the European Chemicals Agency at:
- 24 http://echa.europa.eu/web/quest/quidance-documents/quidance-on-reach

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This document relates to the REACH Regulation (EC) No 1907/2006 of the European Parliament and of the Council of 18 December 2006¹.

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1 Regulation (EC) No 1907/2006 of the European Parliament and of the Council of 18 December 2006 concerning the Registration, Evaluation, Authorisation and Restriction of Chemicals (REACH), establishing a European Chemicals Agency, amending Directive 1999/45/EC and repealing Council Regulation (EEC) No 793/93 and Commission Regulation (EC) No 1488/94 as well as Council Directive 76/769/EEC and Commission Directives 91/155/EEC, 93/67/EEC, 93/105/EC and 2000/21/EC (OJ L 396, 30.12.2006, p.1; corrected by OJ L 136, 29.5.2007, p.3).

Document History

Version	Comment	Date
Version 1	First edition	May 2008
Version 1.1	Footnotes added	July 2008
Version 2	The information on exposure models in Part D of IR&CSA was integrated into Chapter 15.4.	April 2010
Version 2	Chapter R.15.4 on the ECETOC TRA consumer tool for exposure estimation at Tier 1 was subjected to a major revision and update, with the inclusion of a new version of the ECETOC TRA consumer model.	April 2010
Version 2	The order of chapters on i) the agreed standard algorithms for calculation of consumer exposure (presently R.15.3) and ii) on the ECETOC TRA consumer tool for exposure estimation at tier 1 (R.15.4) was switched.	April 2010
Version 2	All presentations on higher tiers were moved into a single chapter R.15.6 and an additional Appendix R.15-4	April 2010
Version 2	A new chapter R.15.6 on risk characterisation was introduced and all relevant texts from other parts were moved into it.	April 2010
Version 2	The introduction was updated	April 2010
Version 2	The chapter on RMMs (earlier R.15.3.2.1) was shortened, moved to Chapter R.15.2.7 and information which duplicated that in R.13 was deleted.	April 2010
Version 2	A new Appendix R.15-1 on consumer mixture and article categories that can be assessed with the ECETOC TRA was introduced	April 2010
Version 2	Text on JRC GExFRAME model and EIS-Chemrisks-toolbox in Chapter R.15.5.3 and Appendix R.15.3, including Table R.15-7, was updated.	April 2010

Version 2	The default units for the algorithms in R.15.3 were updated to be consistent with other guidance (Chapter R.8) and modelling tools.	April 2010
Version 2	Further minor technical and language corrections	April 2010
Version 2.1	Corrigendum to: (i) replace references to the DSD/DPD by references to CLP; (ii) implement minor recommendations concerning nanomaterials arising from RIP-oN3; (iii) make further minor editorial changes/corrections.	October 2012
Version 3.0	 The description of the workflow has been streamlined New specification of how to deal with infrequent uses has been introduced Have removed "migration from article" as a tier 1 algorithm for dermal exposure Have updated the information on modelling tools Have harmonized the text with the updated Chapter R.12 of the IR & CSA Guidance Have integrated relevant parts from Chapter R.17 (to be obsoleted) 	XXXX 201y

Notes on the updates

Most of the changes in the current update provide additional tools and parameters to support consumer exposure assessment and exposure scenario building under REACH, or are of an explanatory or an editorial nature.

A registrant having already finalised the consumer exposure estimation based on Chapter R.15 as published in April 2010 may therefore wish to take the following advice into account:

- Carefully read the document history to be informed on what has been updated;
- Check whether the changes in the guidance put into question:
 - o the scope of the exposure assessment and scenarios already worked out, and
 - o the outcome of the risk characterisation related to these exposure scenarios.

If the conclusion of the check is that neither is put into question, it is unlikely that the adaptation of the already existing Chemical Safety Report to this guidance update (version 2.1 to version 3.0) is of high priority. In this respect, it should be highlighted that previous version of the Tier I ECETOC TRA consumer tool (version 2, as described in the R15 Guidance, April 2010) is more conservative than the new ones developed more recently (Version 3.0 and 3.1, see Section R.15.4). Therefore an assessment carried out with version 2 of the TRA consumer tool without further refinement can still be considered valid.

This updated guidance (version 3.0) describes how to deal with infrequent uses, in this respect existing assessments based on averaging out the event exposure from infrequent events over a longer period of time may need revision.

1 Convention for citing the REACH regulation

Where the REACH regulation is cited literally, this is indicated by text in italics between quotes.

Table of Terms and Abbreviations

5 See Chapter R.20

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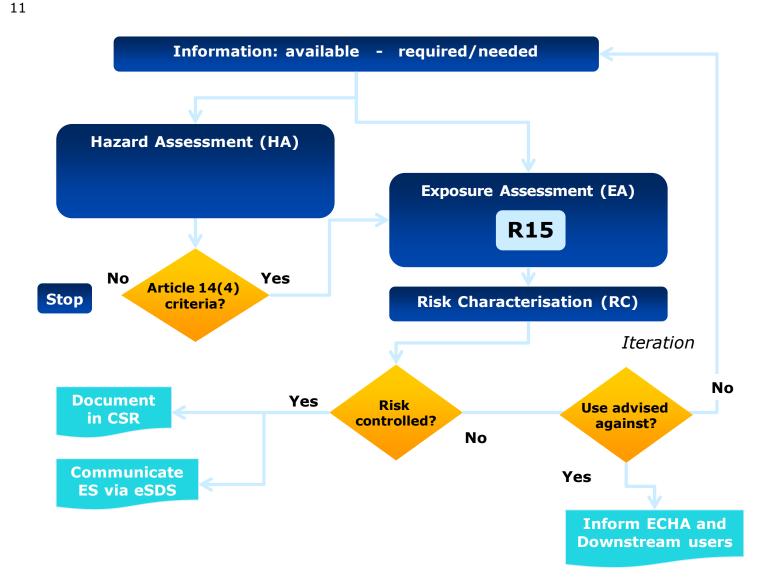
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Pathfinder

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2 R.15. Consumer exposure assessment

3 R.15.1. Introduction

4 R.15.1.1. Aim

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- 5 This document provides guidance on how to carry out consumer exposure assessment in the
- 6 context of REACH. REACH requires, according to Article 14(4), exposure assessment and
- 7 subsequent risk characterisation to be carried out for substances subject to registration, which
- 8 are manufactured or imported in quantities equal to or greater than 10 tonnes/year, and
- 9 where the substance meets the criteria to be classified as hazardous.
- 10 The aim of this guidance chapter is to describe an efficient, step-wise and iterative procedure
- 11 for consumer exposure assessment under REACH, related to substances on their own, in
- mixtures or in articles². In this guidance substances on their own or mixtures or articles used
- 13 by consumers are called consumer products.
- 14 It consists of the following sections:
 - Introduction to consumer exposure assessment (Section R.15.1.2)
 - Workflow for consumer exposure assessment (Section R.15.1.3)
- General principles related to assessment of consumer exposure (Section R.15.2)
- Calculation of consumer exposure at Tier 1 level (Section R.15.3)
 - Tools for supporting exposure scenario building at Tier 1 level (Section R.15.4)
 - Higher tier models and measured data (Section R.15.5),
- Risk characterisation (Section R.15.6),
 - Overview on information sources and available tools (Section R.15.5 and Appendix R.15.2, Appendix R.15.3 and Appendix R.15.4)
- This guidance does not address prevention of accidents for drinking of oxidising, very corrosive products or poisonous products.

R.15.1.2. Introduction to consumer exposure assessment

- 27 The consumer, i.e. a member of the general public who may be of any age, either sex, and in
- any state of health, may be exposed to a substance by **using** consumer products, or by being
- 29 present when others (e.g. professionals) are using products. A consumer product (substance,
- 30 mixture or article) is a product that can be purchased from retail outlets by members of the
- 31 general public. This includes also chemicals and materials for construction works or car
- maintenance sold to both professionals and consumers (do it yourself products). The M/I of
- 33 substances being part of do-it-yourself products sold at retailers should also ascertain that
- consumer use has been assessed and safe consumer use can be assured
- 35 For consumer exposure assessment under REACH, the addressee of exposure scenarios is the

² Article 3(3) of REACH provides that "article: means an object which during production is given a special shape, surface or design which determines its function to a greater degree than does its chemical composition"

formulator of the mixture or the producer of the article sold to the consumers. The means of controlling the exposure from consumer products are very limited and cannot normally be monitored, or enforced beyond the point of sale of the products.

Manufacturer/ importer (M/I) of substances may initially use a broad or general (conservative) exposure scenario, and he may, as a result, be unable to demonstrate control of risk at a generic, conservative approach. The producer of the mixture or the article may have specific information related to the formulation and end use of his product. By making this knowledge available to registrants (e.g. in the form of SCEDs), DU sectors can support registrants in developing more realistic exposure scenarios.

Consumers may be **directly** exposed to substances from the products they use, for example solvents in adhesives or dyes/finishing chemicals in textiles. Additionally, exposure should be considered that does not result from uses by the consumer itself but from uses by other actors in the public domain, for example:

- exposure to substances at home after use of decorating or cleaning products by professionals;
- exposure to substances in indoor air (residential air: e.g. household, schools, nurseries);

exposure to substances in public areas (e.g. swimming pools, recreational areas).

In REACH guidance, **indirect** exposure of humans via the environment is defined as the exposure of humans via consumption of food, drinking water and inhalation of air which in turn are directly influenced by the releases of the substance into the environmental compartments air, water and soil. Indirect exposure is not included in consumer exposure assessment in REACH. However, it should be reported in the 'man via the environment' section in the chemical safety report and is further detailed in *Chapter R.16 of the IR&CSA Guidance*.

Consumer exposure levels may need to be estimated for long-term (repeated or continuous) exposure, and /or for acute/short term exposure (single event, peak exposure), depending on the properties of the substance and the nature of the use (see also Section R.15.2.3).

The way in which consumers are exposed to substances can generally be characterised by:

- the different routes of exposure, separately or in combination;
- the identification of the different phases of activity in handling the consumer product or article:
- the duration and frequency of exposure.

The consumer exposure estimation should normally address the intended uses of the products that contain the substances under investigation. However, since consumers may not accurately follow instructions for use of products, the exposure estimation should cover the reasonably foreseeable uses or use conditions. For example, consumers may over-dose (e.g. by increasing the amount of dishwasher detergent in relation to the doses recommended on the product), fail to take recommended actions that are designed to minimize the potential for contamination (e.g. they may leave containers open after having used the product which can give rise to potential inhalation exposure to substances) or use the product for foreseeable other uses (e.g. dishwashing product used to wash hands). Consideration of deliberate abuse is not part of the exposure assessment process under REACH.

If a substance is used in a consumer product type that has different ways of application (e.g. brush painting and spray painting) two options exist:

• define one contributing scenario covering both types of application: all conditions of us are the same and highest exposure estimate is carried forward to risk characterisation.

• define two contributing scenarios addressing the differences in the conditions of use and generate corresponding exposure estimates for both.

Exposure scenarios can be developed for each use if the operational conditions and risk management measures are different between these use; alternatively, the exposure estimation for the two different consumer uses can be used to establish the highest exposure, and use this as the worst-case situation to be covered in the exposure scenario. A pre-requisite for combining uses is that the recommended operational conditions and RMMs can ensure control of risks for all these uses; If the same substance (for a single registration) occurs in different consumer products that could reasonably be expected to be used jointly and frequently by an average consumer, the risk from aggregated exposure across these products should be considered, (see Section R.15.6).

12 Certain sub-populations may be exposed differently from others. If, for instance, exposure of 13 young children is anticipated, their crawling behaviour and hand to mouth contact may bring 14 them into contact with residues of products on the floor. In addition, the children's small ratio 15 of body weight to surface area, compared to that of adults, will have an effect on the exposure estimates. Therefore, it has to be ensured that exposure scenarios chosen take into 16 17 consideration exposure routes for the identified relevant consumer sub-populations, and the 18 corresponding values for exposure determinants such as body weight and skin surface area 19 should then be used in the estimation. Several tools and information sources are available for 20 this (see Section R.15.4, Appendix R.15.2 and Appendix R.15.3).

R.15.1.3. Workflow for consumer exposure assessment

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Chemicals safety assessment for consumers usually includes the steps outlined below. Note:
The first two steps relate to hazard assessment and are not further explained in the current guidance. However, they are included in the workflow as the hazard assessment determines the scope of the exposure assessment and provides the references for the risk characterisation.

- Collect or generate information on the intrinsic properties of the substance (See *Part B and Chapters R7a and R.8 of the IR&CSA Guidance*) and take into account use patterns and routes of exposure.. This includes:
 - toxicological endpoints (e.g. irritation or corrosion, sensitisation, acute and repeated dose systemic toxicity, genetic toxicity, carcinogenicity, reproductive toxicity);
 - endpoints regarding physicochemical properties (e.g. vapour pressure, water solubility)
- Determine the type and the extent of hazards by comparing with classification and labelling criteria and by determining derived no-effect levels" (DNELs)³ or derived minimal effect levels (DMELs) for all relevant exposure routes; different from hazard assessment for workers, this also includes a DNEL for systemic effects via the oral route. Determine the leading hazard for each exposure route. The conclusions at this step determine i) whether a substance should/must not be introduced to consumer uses at all (e.g. CMRs and acutely toxic substances) and ii) which hazards are to be addressed in the exposure assessment.
- Determine the scope of exposure assessment based on the outcome of the hazard

³ DNEL represent the level of exposure above which humans should not be exposed. DNELs are derived for substances based on: Population (workers, consumers and the general population), route (inhalation, dermal and ingestion exposure) and duration (acute and long-term exposure)

assessment and the physicochemical properties of the substance:

- Determine whether serious local effects on skin and eyes may occur (e.g. due to irritation, corrosion or sensitisation) and therefore need to be addressed in the exposure assessment.
- Determine routes and types of effects for which exposure quantification is required (i.e. where a DNEL can be derived based on effects seen in the corresponding study(ies). If systemic effects are observed, usually DNELs and corresponding exposure estimates for all three routes would be required.
- Build an exposure control strategy, taking into account that control of consumer exposure should largely be based on the design of the product itself (e.g. concentration limits, packaging avoiding overdosing; viscosity avoiding splashes). It is assumed that the number of consumers following behavioural advice or instructions (including correct use of personal protection equipment) is relatively low, and thus these measures are not sufficiently effective to control the risks to consumers. Special attention is needed for products where a single exposure to eyes and skin may cause serious effects. If the registrant nevertheless intends to support such consumer uses in his assessment, he needs to demonstrate that there is a negligible likelihood that such effects occur when used by consumers.
- Build/retrieve contributing scenarios for the product types (mixtures and articles) expected
 to contain the substance. Retrieve realistic information on the conditions of use from use
 maps and exposure assessment inputs (e.g. Specific Consumer Exposure Determinants
 [SCEDs], see Section R.15.2.6) if available from DU sector organisations or single
 representative customers (i.e. formulators or article producers); make use of published
 exposure studies and surveys on consumer habits and practices; ensure that the exposure
 scenarios sufficiently address local effects such as skin sensitisation, corrosion and
 irritation, if relevant. Consider whether habits and practices of adult-consumers may differ
 from the behaviour of child consumers and define the contributing scenarios accordingly.
- Derive exposure estimates for all contributing scenarios (i.e. product (sub) categories) where needed to support the risk characterisation.
 - Derive exposure estimate for one use event starting with a Tier 1 model, and for risk characterisation compare with the DNELs for repeated or continuous exposure (long-term DNEL). If the risk characterisation ratio is < 1, the use can be considered safe, independently of any considerations on frequency over a year or over a day.
 - If the risk characterisation is > 1, refine the exposure estimate (either option possible)
 - Refine exposure determinants to that given use (e.g. concentration, frequency, duration) for instance with the product-use information contained within the sector's SCEDs (if available). With regard to the frequency of use, there may be cases where the product is known to be used infrequently only and so the assessment may be further refined based on frequency of use (see boundaries and additional information in section R15.2.5).
 - Refine the event exposure estimate with higher tier models or measured data. If the risk characterisation ratio is <1, the use can be considered safe.
- Consider whether risks from combined exposure are to be addressed:
 - Risks via different routes of exposure are to be taken into account by summing the individual risk characterisation ratios under each contributing scenario
 - Risks resulting from exposure to the substance via simultaneous use of different products should, where relevant, also be taken into account through summing of risk characterisation ratios across exposure scenarios. For some general advice, refer to SectionR.15.6.
- Conclude whether further refinement of assessment is needed, and finalise the risk characterisation. Where DNELs are available the risk characterisation ratio (possibly supported by considerations on uncertainty) is sufficient. Where no DNEL is available (qualitative assessment), the registrant is expected to provide an argumentation why

- under the conditions described in the exposure scenarios it is unlikely that adverse effects occur on use.
- Document the assessment (including exposure calculations) in the CSR and communicate conditions/measures for safe use down the supply chain to the formulators of consumer mixtures and the producers of consumer articles.
- The following flowchart (Figure R.15- 1) illustrates the steps described above.

Figure R.15- 1: Workflow for the consumers' exposure assessment

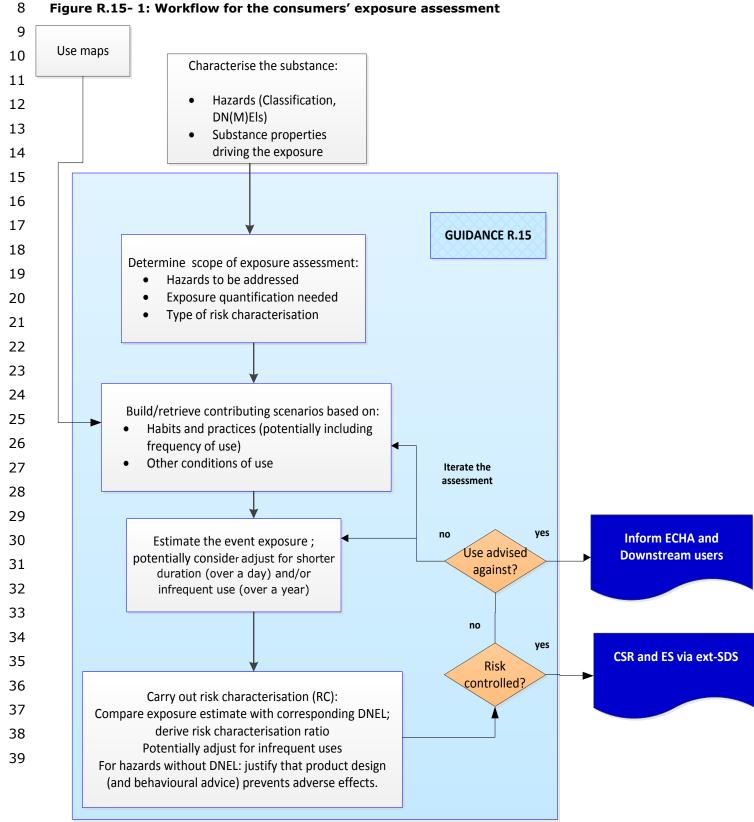
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1 R.15.2. General exposure considerations related to

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R.15.2.1. Routes of exposure

- 4 In this chapter, the evaluation of exposure for consumers refers to external exposure. External
- 5 exposure is characterised by the amount of a substance that is inhaled, lands on the skin or is
- 6 ingested. The aim of this evaluation is to generate information that can be compared to DNELs,
- 7 which are also expressed as external exposure values. Consumer exposure estimation will
- 8 need to consider three separate exposure routes:
 - inhalation exposure
 - dermal exposure
 - oral exposure

R.15.2.1.1 Inhalation exposure

- 14 Inhalation exposure may occur in the case of substances reaching the breathing zone of
- 15 consumers. This may happen either during the actual use of the consumer product or article
- 16 (e.g. as the result of vaporizing solutions or aerosol-forming mixtures or by use of dusty
- products) or as a result of volatilisation after the product has been used (e.g. evaporation of
- solvents from paints) or due to emissions from articles (by evaporation). Exposure by
- inhalation is expressed as the average concentration of the substance in the inhaled air, and is
- 20 normally presented as an average concentration over a reference period of time, which will be
- 21 normally the duration of exposure resulting from one use event (event exposure). The
- 22 frequency of such use events or the relevance of exposure during short peaks requires more
- 23 particular considerations (see section 15.2.3)
- 24 Inhalation exposure is expressed in terms of external exposure, as a concentration, usually in
- 25 mg/m³. In specific cases, other metrics could also be relevant, for instance number
- concentration and surface area concentration (i.e. n/m³ or cm²/m³) in the case of
- 27 nanomaterials.

R.15.2.1.2 Dermal exposure

- 29 Dermal exposure is an estimate of the amount of substance contacting the exposed surfaces of
- 30 the skin. It is the sum of the exposure estimates for the various parts of the exposed body
- 31 surface. Dermal exposure can occur from splashes on the skin, from direct hand or body
- 32 contact with the consumer product or article (e.g. jewellery, textiles, straps, belts, shoes),
- 33 from deposition of particles or aerosols from an airborne substance on exposed skin or from
- 34 skin contact with residues of the substance after product use (e.g. residues on clothing after
- 35 laundering or dry cleaning). For heavy use of consumer products, the substances penetrating
- 36 the clothing may represent an important exposure situation. The amount and concentration of
- 37 the substance, the area of skin exposed and the duration and frequency of exposure can
- 38 influence the actual dermal exposure to a substance. Dermal exposure is expressed in terms of
- 39 the amount of substance per unit surface area of the skin exposed (mg/cm²) or as dose
- 40 (mg/kg body weight/day) on skin. Please note: DNELs derived under REACH refer to the
- 41 external dose and should already take into account absorption through the skin. If data on
- 42 absorption are not available, 100% absorption is assumed for DNEL derivation.

R.15.2.1.3 Oral exposure

- 44 This refers to substances occurring in mixtures that can be ingested resulting in exposure by
- 45 the oral route. Examples are the exposure from residues of finger paints in the hands or
- 46 ingestion of residues from dishwashing products remaining on dishes. Exposure by the oral
- 47 route may also occur as a consequence of migration from articles through sucking, chewing or

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- 1 licking of toys, children's books, plastic articles or textiles, or by unintentional ingestion of the
- 2 article itself or parts of the article. This is of particular relevance to children due to their hand
- 3 to mouth and/or mouthing behaviour.
- 4 A specific type of oral exposure for children is from the uptake of dust and soil to which
- 5 release of substances from consumer products have absorbed, especially due to release of
- 6 substances from articles e.g. textiles, building materials or computers, TVs. The exposure to
- 7 products and chemicals that are rarely accessible to children should not be considered.
- 8 Migration characteristics of the substance in the matrix, solubility and amounts typically used
- 9 are important determinants to be considered. These parameters, together with concentration
- 10 and contact parameters, are used to quantify the respective exposures.
- Oral exposure is expressed as the amount of substance ingested per kg body weight, and is
- normally presented as an average daily external dose (mg/ kg body weight/day).

R.15.2.1.4 Other routes of exposure

- 14 Besides the three major routes of exposure mentioned previously, in special cases other routes
- of exposure must be considered, e.g. eyes (splashing) or in rare cases, intradermal routes.
- 16 Intradermal exposure occurs when the integrity of the skin is disrupted by the use of consumer
- 17 products (e.g. by earrings, piercings or tattoo inks). In these cases, the exposure is expressed
- as the total amount of the migrating substance and is normally presented as an average daily
- 19 dose.

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R.15.2.2. Phases of activity, including post-application

Consumer exposure can be characterised by looking at the different phases of activity in which the products are actually used. There are up to four phases of activity that are relevant to consumer exposure:

- preparatory activity, which includes tasks like handling and dilution of solid or liquid concentrates;
- application of product by the consumer, including handling of articles during their service life;
- post-use or post-application leading to exposure of the user (e.g. exposure to paints, cleaners etc. after use). It is possible that due to chemical reaction the exposure at this stage may be to the substance in a different physical state, or that exposure is to a different substance, e.g. reaction products of the substance;
- removal/cleaning leading to exposure of the user. This includes activities such as emptying and cleaning equipment, stripping coatings, etc.

Each phase of activity may require separate exposure estimation, given that the first phase reflects exposure to a concentrate, the second to a diluted solution, the third to a vapour or semi-dry residue and the fourth to "waste material" and different individuals may carry out each of the activities. If a consumer is exposed to a substance in a particular consumer product or article during different phases of activity including post-application phase, the contribution of each phase to the exposure may need be taken into account.

In addition to this, secondary exposure may occur at any stage to people that are not engaged in the activities, but happen to be exposed as well ('bystanders'). In practice however, the resulting exposure scenario for the different products should include some or all of these phases. The exposure scenario could focus on the phase with the highest risk associated with it, provided that the recommended operational conditions or risk management measures are also relevant and practicable for the other phases of activity. Additionally, it should be noted that very conservative assumptions (e.g., 100% substance release) could

cover all the application phases (See Section R.15.3: Calculation of exposure).

R.15.2.3. Frequency of use and duration of exposure

The large variety of consumer products corresponds to a large variety in the frequency and duration of use and exposure. Exposure may occur during use and sometimes it continues after use for a certain time. The time, in which external exposure takes place, is defined as exposure time or exposure duration⁴ and can vary from seconds to the whole day. Exposure events⁵ can occur regularly/frequently (e.g. every day) or infrequently/occasionally (only for a few times or short periods in a year). Thus, the product-specific time pattern of use and any exposure continuing after the end of the use itself need to be considered in the assessment. It will mostly be a distribution of consumer behaviour, and for many products the corresponding statistical information is not available.

- In general, consumer exposure assessment and risk characterisation should match the relevant exposure duration and frequency with the corresponding DNELs.
- The default approach in consumer exposure assessment is to assume that the products containing the substance are used on a daily basis over a lifetime, and that control of risk should be demonstrated for this use situation (to be described in the exposure scenario).
- The starting point for the assessment is the **exposure event** that results from one **use event**.

 All types of effects that have been identified for the substance (usually in studies with experimental animals) need to be addressed:
 - a) Effects occurring after a **single** exposure event:
 - For acute systemic effects leading to classification and labelling, an acute systemic DNEL should be derived. This may in some cases also be relevant for effects not automatically leading to classification (such as acute neurotoxicity). For each use, a 15 min exposure value is to be derived and compared with the acute DNEL for risk characterisation (see Guidance R.8). Note: This exposure value should correspond to the highest concentration expected during an exposure event.
 - No threshold may be available for dermal irritation, corrosion or sensitisation. For these types of effects, the registrant would need to develop a qualitative argumentation to demonstrate under which conditions of use the risk is adequately controlled (see Guidance Part E). This may include for example that the concentration of an irritant or a corrosive substance in a mixture is below the classification limit for the mixture.
 - b) For effects occurring after **repeated and/or continuous** exposure:

Usually for these effects, a long-term (chronic) DNEL should be available (unless no threshold can be derived). The long-term DNELs cover an exposure level present for 24 hour every day over life-time at which no effect is expected. As a conservative approach, the risk for a consumer exposure scenario can be characterised by comparing the event

⁴ Exposure time/duration is the time scale for the outer exposure, which starts e.g. with a product use and ends when the exposure has become negligible. The end of exposure can be determined by consumer activity pattern (e.g. user leaves the location of exposure) or by the decrease of the exposure concentration.

⁵ Exposure event (according WHO/IPCS glossary of key Exposure Assessment Terminology): "The occurrence of continuous contact between an agent and a target."

exposure(s) 6 over a day to this DNEL, even if the total exposure duration within the day is clearly shorter than 24h and/or exposure does not take place every day (see step 1 below).

It may however be appropriate to adjust the assessment for long-term exposure when i) daily exposure time is clearly shorter than 24 hours and/or ii) when the exposure occurs only a few times or over a short period per year. For the adjustment (see step 2 to 4), the registrant should be able to provide evidence, that the use to be assessed indeed leads to such exposure pattern, taking into account all routes and sources of exposure. In this respect, the sector SCEDs can be helpful as they are targeted to provide additional information for refining the default assumptions (i.e. every day use) in specific exposure scenarios. Moreover, for some products it may be possible to exclude more frequent use, based on the technical purpose of the product.

Such adjustment of the assessment for long-term exposure may in particular be relevant for substances or use conditions, where the assessment based on the long-term DNEL for organ toxicity (systemic toxicity) fails to demonstrate control of risk - even after refinement of the event exposure estimates (e.g. modification of input values not related to duration and frequency, use of higher tier exposure models or measured exposure data)⁷.

The rules and boundaries for adjusting the assessment based on exposure duration and/or frequency are explained in the following paragraph.

In summary, five default scenarios regarding duration and frequency of exposure may be applied for consumer exposure assessment. Table R.15-1 provides an overview on these scenarios, which are then explained in the steps 1 to 5 below.

Table R.15- 1: Default scenarios on duration and frequency for consumer exposure assessment

Scenario	Frequency Duration	DNEL derivation approach Risk characterisation	Remark
Chronic	365 d/a, 70 a 24 h/d	Derive long-term DNEL Compare event exposure to the long-term DNEL;	Conservative starting point (see step 1 below)
Chronic adjusted for shorter daily duration	365 d/a, 70 a, << 24 h/d	Adjust long-term DNEL by applying modified Haber's law.; For practical reasons: Divide the exposure concentration by the adjustment factor and compare to chronic DNEL.	The following default adjustment factors (rounded) are based on exposure duration (calculation see step 3 below) up to 0.25 h/d => adjustment factor 4.5 up to 1 h/d => adjustment factor 3 up to 3 h/d => adjustment factor 2 up to 8 h/d => adjustment factor 1.5

⁶ The term "event exposure" means the exposure level resulting from one exposure event. If more than one exposure event takes place per day, the total exposure in a day is to be determined for comparison with the chronic DNEL.

⁷ Note: The recommended workflow in Section R.15.1.3 suggests to first explore the possibilities for refining the event exposure estimate before basing the assessment on infrequency and/or short duration.

Scenario	Frequency Duration	DNEL derivation approach Risk characterisation	Remark
Infrequent	< 15 d/a 24 h/d	Derive DNEL for infrequent exposure; Compare event exposure to DNEL for infrequent exposure;	Alternative starting point: Use the result of an appropriate short-term study (e.g. 28 days) and apply appropriate AFs to derive the DNEL for short-term conditions (see step 4 below).
Infrequent adjusted for shorter daily duration	< 15 d/a << 24 h/d	In addition, adjust DNEL for infrequent exposure by applying modified Haber's law; For practical reasons: Divide the exposure concentration by the adjustment factor and compare to DNEL for infrequent exposure;	The following default adjustment factors (rounded) are based on exposure duration (calculation see step 3 below) up to 0.25 h/d => adjustment factor 4.5 up to 1 h/d => adjustment factor 3 up to 3 h/d => adjustment factor 2 up to 8 h/d => adjustment factor 1.5
assessment for acutely toxic substances	n.a.	Derive acute DNEL Compare exposure peak with acute DNEL (convention: take 15 min average)	Complementary assessment

Approaches to adjustment of the assessment for duration and frequency of exposure

Several tools used for consumer exposure estimations under REACH foresee the possibility of averaging out infrequent exposures over a year (e.g. ConsExpo) or of applying an exposure reduction factor of up to 100 to extrapolate from the daily exposure event to an infrequent exposure event (ECETOC TRA, version 3.1). It is noted that based on scientific reasoning, this practice is strongly discouraged by the REACH Guidance R.8⁸. However, for some types of adverse health effects it is valid to assume that the dose needed to trigger this effect will decrease with increasing exposure duration and vice versa. In such cases – and in line with Guidance R.8, the so-called "modified Haber's Law" may be applicable (for applicability of Haber Law see footnote 10).

When registrants base the safety assessment for a consumer use on infrequent use or short duration of exposure, the following stepwise approach is recommended. In this context, where applicable, the relevant procedures described in the IR/CSA Guidance R.8 for deriving a DNEL need to be applied.

Step 1: Compare exposure to the long-term DNEL for daily 24 h exposure

⁸ "The actual daily dose is *independent* of the exposure frequency. This means that if for a certain scenario, worker or consumer exposure is for instance only for a number of days per year, the exposure value is the actual dose on the exposure days, and not the daily dose averaged out (and thus divided!) over the whole year." (IR/CSA Guidance R.8, p.8)

If the long-term DNEL has been derived in line with the provisions of Guidance R.8, and if this comparison already results in a Risk Characterisation Ratio < 1, the risk may be considered adequately controlled and no further steps are needed.

Step 2: Determine the relevant use frequency and exposure duration for the product

Information on exposure duration and frequency of use/exposure can be retrieved from published (ideally peer reviewed) surveys on habits and practices, and/or from recognised other data sources (e.g. ECHA, RIVM fact sheets, ECETOC, industry peer-review initiatives such as HERA, Nordic Council, USEPA etc.) or published literature. Other types of information may also be considered. For example, the function of a product may inherently determine that

11 it is normally not used in routine daily activity. When available, additional supportive

12 information may include aspects such as production volume, market volume, purchase

13 frequency, etc. An example on how evidence for the infrequency of use of a consumer product 14 can be documented is found at

https://www.concawe.eu/uploads/files/sced/Lubricant liquids with base oils CONCAWE SCE D 24 1 a v1-2014-02693-01-E.pdf.

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Step 3: Adjust for shorter duration of exposure during a day

An assessor may want to adjust the default assessment from step 1 for a shorter daily duration of exposure, if the daily exposure time is significantly shorter than 24 hours (i.e. 8

h/d or less), and if time extrapolation is considered applicable based on the available

21 toxicological information. This can potentially be addressed by time adjustment according to 22

the "modified Haber's Law" as referred to in Guidance R.89. Firstly, an attempt should be made to 23

24 derive a substance specific n-value, if data allow. As a default, the n-value may be set to 3 according to the

25 AEGL SOP¹⁰ to extrapolate from longer duration to shorter durations.

26 For example, when using modified Haber's Law with the default exponent of 3 (i.e. in the form

27 of C^3 x t = const.) for a case of only 15 min exposure over a day, the chronic DNEL may be

28 modified by division by a factor of 4.6 (15 min are shorter than 24 h by a factor of 96,

therefore the original chronic DNEL may be divided by $96^{(1/3)}$ or 4.6). Likewise, for 3 h 29

exposure, the chronic DNEL may be modified using a factor (divisor) of $8^{(1/3)}$ or 2. 30

31 Application of Haber's Law usually refers to inhalation exposure.

⁹ Appendix R.8-8 (pp 102/103), subsection "Modification of the dose descriptor". If time extrapolation is considered valid, then the most appropriate approach is to use modified Haber's law (Cn x t = k, where 'C' is the concentration, 'n' is a regression coefficient, 't' is the exposure time and 'k' is a constant). In the absence of suitable data for deriving n, a default value of n = 1 for extrapolating from shorter to longer exposure durations and a default value of n = 3 for extrapolating from longer to shorter exposure durations should be used as these values lead to the most conservative estimates. See also Gaylor (2000), Toxicology 149, 17-19 and Belkebir et al. (2011), Toxicology Letters 204, 148-155. The latter highlighted the importance of substance-specific toxicokinetic and toxicodynamic data for the discussion of the applicability of Haber's law in public health risk assessment. The available data may indicate that the modified Haber's is only limitedly valid, or should not be applied at all. Indications are when the toxicity of a substance is concentration dependent or when the critical toxic endpoint differs over time (e.g. critical effect at high short exposures is metabolic acidosis due to overload of blood pH buffer system, whereas the critical effect after low long duration exposure within a day is liver damage). If such indications are present, providing insufficient confidence in applying the modified Haber's rule, adjustment for shorter durations should not be made..

¹⁰ http://www.epa.gov/sites/production/files/2015-09/documents/sop_final_standing_operating_procedures_2001.pdf

- 1 For practical reasons and consistent with the approach for worker exposure assessment, the
- 2 adjustment should be carried out on the exposure side¹¹ (i.e. decreasing exposure
- 3 concentration by dividing by the adjustment factors instead of increasing the DNEL by
- 4 multiplication). See also step 5 on risk characterisation.
- 5 If after adjustment according to (modified) Haber's law the exposure concentration is found
- 6 to lie below the long-term DNEL, the assessment may stop here with the conclusion that the
- 7 risk is adequately controlled. Otherwise, and if the exposure can be demonstrated to be
- 8 infrequent, proceed to step 4.

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Step 4: Adjust for lower frequency of exposure events over the year

- If exposure to the substance is limited to a few events distributed over the year or limited to a
- 12 period of a few days in a year (infrequent use), this can best be reflected by using a DNEL
- 13 addressing short-term exposure for comparison with the event exposure. The infrequent
- 14 exposure adjustment should usually be limited to cases where not more than 15 days¹² of
- 15 exposure occur per year, taking also into account exposure from other uses and sources.
- 16 A DNEL adjusted to infrequent use can be based on the results (e.g., NOAEL) of short-term
- 17 repeated-dose toxicity studies, taking into account the complete toxicological database. By
- default, this DNEL should be derived for 24 h exposure/d.
- 19 The most relevant study for a DNEL adjusted to infrequent exposure¹³ will usually be the study
- 20 with the shortest exposure duration (greater than the expected exposure) on the most
- 21 relevant route of exposure. In practice, this will often be a subacute study (28 days). In this
- case, the assessment factor of 6 for extrapolation from a subacute study to the long-term
- consumer DNEL can be omitted. If however other studies e.g. of longer duration or with a
- 24 special focus such as on pre-natal development have shown relevant effects not covered by
- 25 the subacute study, the study resulting in the lowest DNEL shall be selected.
- 26 If the event exposure is found to lie below the DNEL derived in this way (i.e. reflecting
- 27 infrequent exposure), the assessment may stop here with the conclusion that risk is controlled.
- 28 If that conclusion can still not be reached, adjust the exposure to a short duration over a day,
- if appropriate (see step 3).

Step 5: Risk characterisation

Depending on the expected exposure pattern, the event exposure is compared to the long-

11 According to Guidance R.8, the modified Haber's Law is applied to adjust the chronic DNEL to a shorter duration of exposure. This would potentially result in various time adjusted long-term DNELs per route, depending on product-

specific exposure duration. On the other hand Guidance R.8 suggests as default approach to include one DNEL per route for long-term exposure, which is also the approach supported by IUCLID. Therefore, the adjustment should be made on the exposure side, as the outcome in terms of risk characterisation is anyway the same.

12 The indicative benchmark of 15 days is based on the following considerations: 28 days (duration of a repeated dose

¹² The indicative benchmark of 15 days is based on the following considerations: 28 days (duration of a repeated dose study) correspond to 4% in the life of an experimental animal (about 2 years). Applying 4% to 70 years (average human lifetime), the resulting overall number of exposure days is 1022, which corresponds to 15 day per year.

¹³ At the time of writing the current guidance, such DNEL cannot yet be reported in IUCLID. However, it is foreseen to enable such possibility with the next IUCLID update.

term DNEL (see step 1) or to the DNEL for infrequent exposure (see step 4).

Where adjustments have been made for shorter daily duration, an adjusted exposure value is compared to i) the long-term DNEL (see step 3) or to the DNEL for infrequent exposure (see step 4.

For acutely toxic substances, a 15 min inhalation peak exposure value is compared to the acute DNEL in addition.

Step 6: Document the reasons and arguments

The relevant DNELs should be documented in Chapter 5 of the CSR. Also, when adjusting the daily exposure according to modified Haber's law the applicability of time adjustment needs to be confirmed (absence of substance characteristics outside the domain, such as a concentration driven effect). When substance specific adjustment factors are derived in order to deviate from the default exponent used in (modified) Haber's law, the corresponding study data need to be part of the registration dossier and referred to in Chapter 5 of the CSR.

When adjusting the assessment to infrequent use, the registrant should provide the evidence for the infrequency of the use/exposure in the CSR (e.g. based on a survey on habits and practices). In addition, the infrequency is to be included as a condition of use into the exposure scenario for the CSR (see chapter 9 of the CSR), and communicated (with the extended safety data sheet) to the producers of the consumer product, as it may impact on product design or product use instructions.

The risk characterisation for a substance should include consideration on the uncertainties in the assessment. Regarding the short exposure duration during a day and/or infrequency of a specific use (product), the time pattern of **all uses** addressed in the CSR is to be reflected.

R.15.2.4. Operational conditions and risk management

General information on the use of a substance in consumer products or articles is needed to identify the contributing scenarios to be assessed and the relevant exposure pathways. The brief general description of consumer uses should follow *Chapter R.12 of the IR&CSA Guidance*.

Direct exposure from product use will often be the main source of consumer exposure to a chemical present in that product. Characterisation of the direct consumer exposure requires knowledge of the nature of the products used and of the circumstances of their intended and reasonably foreseeable. A reasonably foreseeable use is in this respect the use dishwashing liquid for handwashing, but not using wall-paint as body paint.

The operational conditions and risk management measures of the exposure scenario have to reflect the driving parameters of the exposure estimation and its algorithm. Preferably, they should be derived from published surveys on consumer habits and practices. Consumer exposure is related to the amount of substances in consumer products or articles. Therefore, the amount of the products used per event, the quantity of chemical in the product and the frequency and duration of the event are essential information needed to estimate consumer exposure. In particular:

- The duration of exposure for consumers should either be estimated as 24 hours per day as a worst case or by estimating the duration of the specific activities leading to exposure (e.g., cleaning of floor or manual dishwashing). For consumer products, and especially in indoor situations, the duration of use is not the same as duration of exposure (e.g. in the case of painting). In the exposure estimation, it should be taken

- into account that exposure to a substance may also occur after application.
- The applied amount of chemical is found by multiplying the handled weight of the product with the weight fraction of the substance in the mixture. For using a mixture after dilution (e.g., detergent concentrate), the handled weight of the diluted mixture is multiplied with the weight fraction in the diluted mixture. The realistic maximum amount of chemical in use by consumers varies not only between consumer products but also between individuals. For certain types of products it should be assumed that some consumers use more than the recommended amount, because they expect a better product performance. In these cases, individually packed amounts (e.g. tablets or separate sachets) will ensure a constant / uniform use amount.
- For articles, a possible way to control the risk might be to derive specific concentration limits (mg/kg article material) for oral exposure [1]based on the DNEL. By re-arranging the Tier 1 equations for oral exposure (see Section R 15.3), it would be possible to calculate a content limit for the article, assuming the entire article is swallowed, and the substance is completely released (mostly applicable to small articles easily swallowed). If such concentration limit is respected in article production, the safe use can be ensured even under the worst case situation. While an exposure assessment has to be performed, and the exposure estimate compared with a DNEL to demonstrate safe use, this simple method might guide the registrant to set appropriate concentration limit in the article in absence of more specific information from the Downstream Users.
- The size of the receiving compartments, normally a room in a flat or a house represents one of the most important parameters for the exposure assessment. This descriptor of exposure is needed for tier 1 assessments. Also a standard ventilation rate for rooms with closed doors and windows can be considered in the exposure algorithms.

The exposure routes are related to the type of use and to substance properties. For example, inhalation may play a role for volatile substances but also for dust-forming conditions of use or conditions promoting mobility of a substance as such, in mixtures or in articles. Substances of low volatility can be released by mechanical abrasion (rubbing off), via leaching (e.g. during mouthing) or by migration (e.g. due to elevated temperatures or interaction between the substance and polymer-matrix) with subsequent release. The Tier 1 calculations for the different exposure routes are given in Section R.15.3.

Effective risk management measures for consumers are usually product-integrated measures. For quantitative exposure estimation, only those RMMs which can be controlled by the manufacturer of the product should be considered. This means that RMMs may be implemented by changing operational conditions or product composition, e.g.: maximum concentration used in the product, change of the product form (e.g., pellets or granules instead of powder or increasing the viscosity of a product containing a corrosive substance to minimise splashes), maximum amount of product used (package size), and type of packaging – many dishwasher tablets are now sold encased as gel capsules.

The use of consumer instructions as RMMs cannot be expected to be highly effective, unless consumer behavioural data provide evidence that a sufficient degree of compliance can be assumed. The adherence to instructions is fundamentally different for consumers by comparison to that in occupational settings where the employer has the duty to ensure good operational conditions and use of RMMs.. For example, an RMM like "open windows to ensure a good ventilation" may be a useful advice to consumers but "good ventilation" should not be assumed when estimating the exposure. Increasing ventilation rates above default is not always a suitable option to iterate an exposure scenario for consumer uses, as adherence to the instructions cannot be guaranteed.

There are limited circumstances for consideration of personal protective equipment (PPE) in consumer exposure, because people will not necessarily use PPE even though recommended by the manufacturer. Even when PPE is provided with the product (e.g., gloves with a hair dye), it cannot be ensured that consumers will use it. The exposure estimation needs to

consider the reasonable worst-case situation which indicates no use of gloves or other PPE. As an element of good practice and personal hygiene, the advice to use household gloves or other skin protection should be part of consumer instructions (e.g. for products that are irritating/corrosive to the skin, such as strongly acidic, alkaline or oxidising household detergents, and caustic oven cleaners).

R.15.2.5. Habits and practices of children

For children's products and for some consumer products for which the habits and practices of children significantly differ from those of adults (e.g. mouthing and crawling behaviour;

- 10 handicrafts in school/kindergarten), the assessor needs to take these habits and practices into
- account and should derive contributing scenarios that are sufficiently protective for both
- 12 children and adults. In addition, the anthropometric parameters (e.g. body weight, inhalation
- rate, body surface) of children and adults differ, which might lead to higher exposures of
- 14 children in comparable exposure situations. This should, for example be taken into account for
- 15 consumer products that are frequently used by children at school (e.g. universal glue) or
- during household activities (e.g. dishwashing products).
- Several children-specific behaviours may influence the exposure to chemicals from consumer products, such as:
- Hand to mouth behaviour,
- Object to mouth behaviour,
- Crawling, playing

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- Incidental oral ingestion (swallowing): settled particulate matter and consumer products,
 - Sleep (longer duration spent indoors)

With respect to the child's mouthing behaviour, it should be noted that the Committee for Risk Assessment (RAC), has discussed mouthing times for children in the context of restriction dossiers. For instance, the opinion on lead and its compounds in articles intended for consumer use¹⁴ provides information on realistic and reasonable worst case mouthing times for the specific articles targeted by the restriction. These data could potentially be used as long as the assessment targets the same types of articles as the ones targeted by the restriction (see "reference to RAC op." [2]). In the context of other restriction proposals, mouthing times for articles, including toys, containing phthalates have also been discussed ([3] and [4]).

Furthermore, it should be noted that when looking at a child's exposure and risk assessment, special considerations should be taken with regard to:

- On a per body weight basis, children typically have higher exposures to environmental media than adults.
- On a body weight basis, toddlers (0.5-4 years) are typically the most exposed age group when examining indoor air, outdoor air and soil/dust based upon behaviour and physiology.
- Indoor air is an important source of environmental exposure for children.
- Significant inter-individual variability exists in early life stages due to rapid physiologic, anatomic, and behavioural changes, even within a relatively narrow age group [5].

¹⁴ Available at: http://echa.europa.eu/documents/10162/f5a59251-8ef0-4f44-bfd4-95bffca7f807

- 1 Therefore, when performing an exposure assessment to a chemical for consumer exposure
- 2 from products within a certain AC or PC, the possibility of a separate exposure assessment for
- 3 children should be always considered, unless they are clearly not exposed.

R.15.2.6. Specific Consumer Exposure Determinants SCEDs

- 6 The SCEDs (Specific Consumer Exposure Determinants) provide "information input" into the
- 7 registrant's consumer exposure assessment. They document the typical conditions of use for a
- 8 substance incorporated into a specific consumer product. This includes information related to
- 9 consumer habits and practices (e.g. quantity of product used, frequency of use, place of use...)
- and information related to product characteristics (e.g. concentration of substance, transfer of
- substance from product to skin surface).
- 12 The SCED format has initially been designed to directly feed the information into ECETOC TRA
- 13 v.3.1 and Chesar. However it is also possible to use the SCED information in other REACH
- 14 consumer models (such as ConsExpo).
- 15 The SCEDs do not affect the algorithm inherent to the exposure model.
- 16 The SCEDs are developed by downstream sector organisations to transparently document the
- ways in which their products are commonly used by consumers. They are part of the
- information flow up and down the chemicals supply chain under the responsibility of industry,
- 19 as foresee in REACH. The first SCEDs were made publicly available in 2014, further SCEDs
- 20 followed in 2015. See:

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- 21 https://www.concawe.eu/reach/specific-consumer-exposure-determinants-sceds-documents
- 22 https://www.aise.eu/our-activities/product-safety-and-innovation/reach/consumer-safety-
- 23 <u>exposure-assessment.aspx</u>
- 24 Each value within the SCEDs has to be substantiated by reference to suitable information
- 25 sources that- are open access and have been published and ideally are peer reviewed (the
- 26 "rationale")¹⁵. Preferably, this will refer to European data sources and/or be already used in
- 27 regulatory processes (within the EU or beyond e.g. EPA, IPCS).
- 28 The SCEDs are designed so that the resulting exposure scenario as a whole represents
- 29 conservative conditions of use. Where habits and practices significantly vary across European
- 30 countries/regions, then the SCEDs will reflect those areas with the highest uses/exposure
- 31 conditions. It is the responsibility of each registrant to check whether the SCED he is using is
- 32 suitable for his case.
- 33 The Guidance on SCED published by DUCC/Concawe can be downloaded from:

¹⁵ Where a value has been copied from the ECETOC TRA defaults and where the original source of information is clearly referenced in the TRA documentation a reference to the corresponding ECETOC Technical Report may be sufficient.

1 http://www.ducc.eu/documents/20140424-Guidance%20documents%20on%20SCEDs-Final-

2 <u>V1.pdf</u>

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4 R.15.3. Calculation of exposure

- 5 This section summarises the Tier 1 principles and algorithms for consumer exposure
- 6 estimation. The assessor may start the assessment by using tools that implement the Tier 1
- 7 algorithms. Tools for lower tiers of consumer exposure estimation are discussed in Section
- 8 R.15.4 (ECETOC TRA) and Section R.15.5.1(some ConsExpo sub model), further tools are
- 9 listed in Section R.15.5.2 and Appendix R.15.3.
- 10 As it explained later in this section, the tier 1 algorithms and the tools require values the
- calculations for anthropometric data (e.g. surface area of different body parts, body weight,
- 12 and respiration volumes), room volumes and room ventilation etc. The default values used by
- each tool can be found in the tool documentation. Further information on default values for
- these parameters can be found at:
- RIVM Fact Sheets (room and anthropometric data, default for Consexpo):

 http://www.rivm.nl/dsresource?objectid=rivmp:266571&type=org&disposition=inline&n

 s nc=1
 - JRC ExpoFacts site (complete collection of EU data, no default proposed):
 http://expofacts.jrc.ec.europa.eu/
 - US EPA Exposure factor handbook (containing also default for US): http://cfpub.epa.gov/ncea/risk/recordisplay.cfm?deid=236252

The ECHA Biocides Human Exposure expert group (HEAdhoc), has agreed on harmonised values to be used for the exposure assessment from Biocidal products as available within the Biocides Human Health Exposure Methodology document within Section 2: Default parameters for Exposure Assessment" available at ECHA website. These include anthropometric parameters, activity patterns, room sizes and ventilations.

- 27 Consumer exposure assessment on the Tier 1 level is rather a decision criterion on a screening
- 28 level than a realistic exposure estimation. In contrast to higher tier assessments, it assumes
- 29 instant and complete release of the substance from the product or from a thin product layer.
- 30 In consequence of this complete release assumption, Tier 1 exposure estimates for the
- 31 application phase also represent the exposure during other phases of activity like the post
- 32 application period. The Tier 1 equations are simple and include few and highly conservative
- parameters which represent worst case situations. Depending on the substance properties and
- the use situation, Tier 1 assessments may already be sufficient to demonstrate safe use.
- 35 Otherwise the assessment needs iteration by modifying the assumed conditions of use and the
- 36 assessment models. In these refined consumer exposure estimations, the use conditions of the
- 37 exposure scenarios are more realistic while the scope of the exposure scenarios is smaller (e.g.
- 38 covers a more specific sub product or article). Moreover, when refinement is needed (and the
- 39 assumption of complete release is no longer valid) phases other than the application of the
- 40 product (e.g. mixing before application, post application) might not be covered anymore by the
- 41 refined assessment.
- 42 Exposure quantification may be relevant for three routes:
- 43 **Inhalation**: A substance may be released into a room as a gas, vapour or airborne particulate
- 44 (e.g. a carrier/solvent in a cosmetic formulation, a powder detergent, dust), or by evaporation
- 45 from liquid or solid matrices, like articles (e.g. wall wooden panels, PVC flooring). Tier 1
- 46 algorithms assume that all substance is released at once into a standard room (instantaneous
- 47 release) with immediate mixing, and no removal takes place due to ventilation. The main

input parameter to be determined by the assessor is the amount of substance available for 2 release in the standard room and the number of use events per unit of time. The amount results from the product/article amount per use event and the concentration of the substance 4 in it. Some lower tier tools enable release modification based on vapour pressure of the substance. The estimated exposure is expressed in mg/m³, averaged over the exposure event or over the day (24 h). Note: In order to support the assessment of outdoor uses some lower tier tools define a "virtual standard room" to generate an exposure concentration based on 8 instantaneous release.

example, hands are dipped into a solution containing the substance under evaluation, or splashes occur (painting). Tier 1 assessment assumes that all the substance contained in a contact layer of 0.01 cm thickness will be available to form the dermal load on the skin surface. Note that this Tier 1 assumption may not be valid for continuous immersion of body

Dermal A: The substance is contained in a mixture. This option is applicable when, for

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15 The main input parameters to be determined by the assessor are the fraction of the substance in the mixture, the exposed skin contact area and the number of use events per unit of time. 16

17 The estimated exposure is expressed as dermal load per use event, calculated as the amount 18 of substance per unit surface area of skin or as an external dose in mg/kg of bodyweight (per 19 use event or per 24 h)

Dermal B: The substance is contained in an article matrix and migrates to the skin surface. This option is for example applicable when residual dyes in clothing or additives in plastic articles are in contact with skin. For covering this route of exposure, ECETOC has extrapolated the rationale from the liquids (see "Dermal A above) assuming that all the substance contained in a contact layer of 0.001-0.01 cm thickness (depending on the article) will be available to form the dermal load on the skin surface¹⁶.

The main input parameters to be determined by the assessor are the fraction of the substance in the article, the exposed skin contact area and the number of use events per unit time.

The estimated exposure is expressed as dermal load per use event, calculated as the amount of substance per unit surface area of skin or as an external dose in mg/kg of bodyweight (per use event or per 24 h).

Oral A: The substance is contained in a mixture or in an article and a part of the product/ article is unintentionally swallowed during normal use. This option is for example applicable for the use of finger paints or for residues from dishwashing on the dishes. The main input parameters to be determined by the assessor are concentration of the substance in product when swallowed, the amount ingested per event and the number of use events per time. Oral exposure is expressed as external dose (mg/kg bw).

Oral B: The substance is contained in an article and migrates to the surface. Licking and sucking (e.g. by children) may promote leaching of the substance from the article matrix. This option, directly derived from the scenario "Dermal B above" is applicable for example when a

¹⁶ Several studies focusing on dermal exposure (also to articles) are currently under developing phase (like DRESS -DeRmal Exposure aSsessment Strategies, study founded by CEFIC - LRI). When final results will become available, they might constitute a basis to refine concepts and calculations for estimating dermal exposure, including Tier I equations.

substance migrates from a pen, cutlery or textiles. The main input parameters to be determined by the assessor are the fraction of the substance in the article, the area subject to sucking or licking and the number of use events per unit time. Oral exposure is expressed as external dose (mg/kg bw).

R.15.3.1. Inhalation exposure

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The Equation R.15- 1 represents a worst-case situation by assuming that the substance is directly available as a gas or vapour. The equation applies to both volatile substances and airborne particulates. For inhalation exposure, the concentration of the substance in the room air (e.g. mg/m³) must be estimated; the inhalatory dose (mg/kg body weight/day) can then be also estimated. The event duration is assumed to be 24 hours in the worst case. For a Tier 1 evaluation, it is assumed that 100% of the substance in the consumer product or article will be released at once into the room and there is no ventilation. There should be a clear description in the CSR of the uncertainties associated with the estimated values and the consequences for the risk characterisation. The two essential parameters used that the assessor should know are:

- Amount of product used or article weight
- Fraction of substance in the product or in the article (concentration)
- 18 The concentration in air after using an amount Q_{prod} of the product becomes:

$$C_{inh} = rac{Q_{prod} \cdot Fc_{prod}}{V_{room}} \cdot extbf{1000}$$
 Equation R.15- 1

When the inhalable and/or respirable fraction is known, it should be taken into account. If the product contains releasable nanomaterials then the assumption should be made that it is entirely within the respirable fraction if not otherwise known. The non-respirable fraction can be swallowed and oral exposure may also need to be considered (see Equation R.15- 8 and Equation R.15- 9). For the purpose of calculating overall systemic exposure via different exposure pathways, see Section R.15.6.

The air concentration C_{inh} results in an inhalatory dose D_{inh} of:

$$D_{inh} = \frac{F_{resp} \cdot C_{inh} IH_{air} T_{contact}}{BW} \cdot n$$
 Equation R.15- 2

Table R.15- 2: Explanation of symbols for inhalation exposure

Input parameter	Description	Unit
Q_{prod}	Amount of product/article used	[9]
Fc _{prod}	Weight fraction of substance in product/article	[g·g _{prod} -1]
V_{room}	Room size (default 20 m³)	[m³]

F _{resp}	Respirable fraction of inhaled substance (default 1)	[-]
IH _{air}	Ventilation rate of person	[m³·d ⁻¹]
$T_{contact}$	Duration of contact per event (default 1 day)	[d]
BW	Body weight	[kg]
N	Mean number of events per day	[d ⁻¹]
Output parameter	Description	Unit
C _{inh}	Concentration of substance in air of room	[mg·m ⁻³]
D _{inh}	Inhalatory dose (intake) of substance per day and body weight	[mg·kg _{bw} ⁻¹ ·d ⁻¹]

It should be noted that for Tier 1 assessment for short-term local exposure, the value for V_{room} could be reduced (e.g. to 2 m³) to represent the volume of air immediately surrounding the user ('breathing zone'). If this is not sufficient, higher tier models may be more appropriate. Inhalation exposure can occur to a substance that is released relatively slowly from a solid or liquid matrix (e.g. solvent in paint, plasticizer or monomer in a polymer, fragrance in furniture polish). In these cases, a simple Tier 1 screening model will usually overestimate exposure. Improved estimation models are further described in Section R.15.5.

R.15.3.2. Dermal exposure

Dermal scenario A: Instant application of a substance contained in a mixture

The model is used as a first Tier worst case approach or if details on how the skin is exposed to the compound are not known. If more precise information is available, the amount of

product can be changed to reflect the actual use. The exposure expressed as dermal load L_{der} is

calculated as the amount of product per unit surface area of skin or as external dose in mg/kg of bodyweight. The essential parameters used for this model are:

Weight fraction compound: the fraction of the compound in the total product

Amount of product: the amount of total product applied to the skin

The surface area of the exposed skin

The dermal load is calculated as:

$$L_{der} = rac{Q_{prod} \cdot Fc_{prod}}{A_{skin}} \cdot 1000$$
 Equation R.15- 3

2 and the external dose Dder as:

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$$D_{der} = rac{Q_{prod} \cdot FC_{prod} \cdot n}{BW} \cdot \mathbf{1000}$$

In cases where the substance is contained in a liquid into which certain parts of the body are dipped, the equation is not based on the mass of the substance applied to a certain area of skin, but rather on the concentration of the substance in the mixture that is in contact with the skin. First, the concentration C_{der} of a substance in contact with skin is calculated. Depending on how the parameters are provided, three analogous calculations are used:

Equation R.15-4

$$C_{der} = = \frac{C_{prod} \cdot 1000}{D} = \frac{RHO_{prod} \cdot Fc_{prod} \cdot 1000}{D} = \frac{Q_{prod} \cdot Fc_{prod} \cdot 1000}{V_{prod} \cdot D}$$
 Equation R.15- 5

11 The total dermal load L_{der} is then calculated using:

$$L_{der} = C_{der} \cdot TH_{der}$$
 Equation R.15- 6

13 The dermal dose is then derived as:

$$D_{der} = \frac{L_{der} \cdot A_{skin} \cdot n}{BW}$$
 Equation R.15- 7

15 Table R.15- 3: Explanation of symbols for dermal scenario A

Input parameter	Description	Unit
C _{prod}	Concentration of substance in product before dilution	[g·cm ⁻³]
D	Dilution factor (If not diluted, D =1)	[-]
RHO _{prod}	Density of product before dilution	[g·cm ⁻³]]
Q_{prod}	Amount of product used	[9]
Fc _{prod}	Weight fraction of substance in product before dilution	[-]

V_{prod}	Volume of product used before dilution	[cm³]
V_{appl}	Volume of diluted product actually contacting the skin	[cm³]
TH _{der}	Thickness of product layer on skin (default 0.01 cm)	[cm]
A _{skin}	Surface area of the exposed skin	[cm²]
BW	Body weight	[kg]
N	Mean number of events per day	[d ⁻¹]
Output	Description	Unit
Output		
C _{der}	Dermal concentration of substance on skin	[mg·cm ⁻³]
		[mg·cm ⁻³]
C _{der}	Dermal concentration of substance on skin	
C _{der}	Dermal concentration of substance on skin Amount of substance on skin area per event Amount of substance (external dose) that can potentially be taken up (account later for actual dermal absorption)	[mg.cm ⁻²]
C _{der} L _{der} D _{der}	Dermal concentration of substance on skin Amount of substance on skin area per event Amount of substance (external dose) that can potentially be taken up (account later for actual dermal absorption) per body weight	[mg.cm ⁻²]

The above dermal equations also apply to:

a non-volatile substance in a medium used without further dilution. In this case the dilution factor (D) is set to 1;

a non-volatile substance contained in an undiluted medium removed from the skin by, for example, wiping or rinsing and drying (e.g., liquid soap). Recalculate the V^*_{appl} "real" volume of application based on volume of application (V_{appl}) as $V^*_{appl} = V_{appl} \cdot Fc_{der}$; where Fc_{der} is the fraction of the product remaining on the skin;

Example R.15- 1: Calculating dermal exposure to a substance in a solution

- The identified use is a waterborne "Washing and cleaning products"
- 3 In this example, the undiluted cleaning product is a surfactant-water mixture, where the
- 4 weight fraction of the surfactant (Fc_{prod} in Equation R.15- 5) is 0.1 (=10%). It is assumed that
- 5 the density of the product can be set to 1 (RHO = 1 in Equation R.15-5) and thus the
- 6 concentration of the substance in the undiluted product is 0.1 g/cm^3 or 100 g/L (Cprod = 0.1
- 7 in Equation R.15- 5).

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- 8 Exposure is calculated for a situation in which the hands are dipped into the diluted product.
- 9 The concentration of the substance after dilution (dilution factor D = 40) is 0.0025 g/cm³. The
- dermal concentration of substance on skin (C_{der}) is 2.5 mg/cm³.
- 11 $L_{der} = C_{der} \cdot TH_{der}$ is applied to derive the dermal load to skin (L_{der}) by multiplication of C_{der}
- with the thickness of layer (TH_{der}). The thickness of the layer in direct exchange with the skin
- is assumed to be 0.01 cm by default).
- 14 $L_{der} = C_{der} \cdot TH_{der} = 2.5 \text{ mg/cm}^3 * 0.01 \text{ cm} = 0.025 \text{ mg/cm}^2$.
- 15 In a Tier 1 scenario, default parameters leading to worst-case assessment are applied.
- Accordingly, the body surface area of males is assumed, but the body weight of women (60
- kg) is applied. The surface area of the exposed $skin(A_{skin})$ for hands (fronts and backs) for males
- 18 is 840 cm².
- Using the $D_{der} = \frac{L_{der} \cdot A_{skin} \cdot n}{BW}$, the external dermal dose (in mg per kg body weight can be
- 20 calculated.

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- 21 $D_{der} = \frac{L_{der} \cdot A_{skin} \cdot n}{BW} = 0.025 \text{ mg/cm}^2 *840 \text{ cm}^2 * 1/60 \text{ kg} = 0.35 \text{ mg/kg bw}$
- 22 RMMs are not considered in the quantitative exposure estimation because consumer
- compliance to the advice 'wear gloves while cleaning' cannot be ascertained. However, it is
- considered a good practice to add this as a labelling instruction for consumer use. In Tier 1
- assessments, exposure times are not taken into account.

Dermal scenario B: a substance migrating from an article

- The Tier I algorithm to calculate dermal exposure (e.g. dermal dose) to substance migrating from an article is similar to the equation presented in the previous paragraph for mixtures (e.g.) where:
 - C_{der}, A_{skin}, n is referred to the article (i.e. concentration C of the substance in the article, skin surface A in contact with the article)
 - TH (Thickness of product layer on skin) is generally set to 0.001 for article (instead of 0.01 for mixtures)
- 35 This algorithm is used in ECETOC TRA consumer tool (see section R.15.4)

R.15.3.3. Oral Exposure

- Oral exposure is expressed as external dose (mg/kg bw). The parameters used are:
- 38 Weight fraction compound: the fraction of the compound in the product
- 39 Amount ingested: the total amount of product swallowed

1 Oral scenario A: exposure of a substance in a product during normal use

2 The concentration in the product as swallowed is calculated from:

$$C_{oral} = = \frac{C_{prod} \cdot 1000}{D} = \frac{RHO_{prod} \cdot Fc_{prod} \cdot 1000}{D} = \frac{Q_{prod} \cdot Fc_{prod} \cdot 1000}{\mathbf{V}_{prod} \cdot D}$$
 Equation R.15- 8

5 and the oral dose is then given by:

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$$D_{oral} = \frac{F_{oral} \cdot V_{appl} \cdot C_{oral} \cdot n \cdot 1000}{BW} = \frac{Q_{prod} \cdot Fc_{prod} \cdot n \cdot 1000}{BW}$$
 Equation R.15- 9

- 6 If an undiluted product is swallowed, D = 1.
- 7 Table R.15- 4: Explanation of symbols for oral scenario A

Input parameter	Description	Unit
C _{prod}	Concentration of substance in product before dilution	[g·cm ⁻³]
D	Dilution factor	[-]
RHO _{prod}	Density of product before dilution	[g·cm ⁻³]
Q_{prod}	Amount of product before dilution	[g]
Fc _{prod}	Weight fraction of substance in product before dilution	[g·g _{prod} -1]
V _{prod}	Volume of product before dilution	[cm ³]
V_{appl}	Volume of diluted product per event in contact with mouth	[cm³]
F _{oral}	Fraction of V_{appl} that is ingested (default = 1)	[-]
BW	Body weight	[kg]
N	Mean number of events per day	[d ⁻¹]

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Output	Description	Unit
C _{oral}	Concentration in ingested product	[mg.m ⁻³]
D _{oral}	Intake per day and body weight	[mg.kg _{bw} ⁻¹ .d ⁻

- These equations may also be used to estimate exposures arising from ingestion of the nonrespirable fraction of inhaled airborne particulates.
- For some products, exposure due to hand mouth contact can be calculated (e.g. finger paints). The volume of product swallowed is related to the oral contact area A_{skin} and the thickness of product layer TH on that part of the hand (default 0.01 cm). It is assumed that 100% of substance present on the hand is transferred and available for ingestion.

Oral scenario B: exposure of a substance in an article during normal use

- The Tier I algorithm for oral exposure to substance in an article is similar to those presented for a product. The only difference is how the amount (or volume) of product migrating from article and being ingested (Q_{prod} or V_{prod} in the previous algorithms) is calculated.
- The volume of product swallowed is calculated based on the article area in contact with the mouth A_{skin} (default 10 cm2) and the thickness of article layer TH assumed to be in contact during mouthing (default 0.01 or 0.001 cm). It is assumed that 100% of substance present in the contact layer is transferred and available for ingestion.

 V_{prod} (volume product swallowed) = A_{skin} x TH Equation R.15- 10

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2 R.15.3.4. Exposure to non-volatile substances

- 3 Non-volatile substances (i.e. substances having low vapour pressure) can be released from
- 4 products via migration (e.g. softeners) or by mechanical abrasion (e.g. pesticides, flame
- 5 retardants), or by heating (e.g. soldering and welding, articles used at elevated temperature
- 6 like candlewick or cake pan).
- 7 Because some of these substances can be found in house dust, house dust may present an
- 8 important path for exposure to non-volatiles. In small children, exposure via house dust can
- 9 account for about 50% of the total exposure [6]. Therefore, exposure via house dust may need
- 10 to be considered when preparing a chemical safety assessment for REACH.
- 11 It is anticipated that non-volatiles occurring in any products used in private households may
- 12 contribute to accumulation in house dust. For example, the substance in articles may become
- available for inhalation due to rubbing or while handling or working with the article (e.g.
- building materials, hobby materials etc.). The resulting dust can be inhaled. Therefore, use
- specific exposure via house dust is difficult to predict. Contact with house dust itself may lead
- to dermal exposure and, in small children, to oral exposure due to mouthing behaviour. A
- conservative estimate of 100 mg/day has been proposed for house dust intake for children [7].
- 18 In standard lower tier assessments using TRA consumer model with default values provided by
- 19 the tool, ECETOC assumes that exposure to non-volatile substances via house dust is covered
- 20 by the model exposure estimate (see discussion in Section R.15.4.2.). For higher tiers, the
- 21 concentration of the substance of concern can be evaluated or measured in house dust and
- 22 multiplied by the estimated intake value mentioned above. For example, if the concentration of
- 23 a substance in house dust is 1 μ g/g, then the intake of the substance would be 0.1 μ g/day.

1 R.15.4. The ECETOC TRA consumer tool for exposure

2 estimation

- 3 ECETOC has released different versions of the TRA Consumer tool during recent years to better
- 4 capture exposure refinement options, while maintaining algorithms for exposure estimation,
- 5 which are largely based on Tier 1 algorithms documented in Section R.15.3. Three recent
- 6 versions of TRA are briefly described and compared with each other and to Tier 1 algorithms in
- 7 Appendix R.15.4

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- 8 References to be consulted for better comprehension of the TRA consumer tool are:
 - "Addendum to ECETOC Targeted Risk Assessment Report No. 93 Technical Report No. 107" [8];
 - "ECETOC TRA version 3: Background and Rationale for the Improvements Technical Report No. 114" [9]
 - "Addendum to TR114: Technical Basis for the TRA v3.1 Technical Report No. 124" [10]
- 15 The above mentioned documentation is freely available at http://www.ecetoc.org/tra. The
- description in the following paragraphs always refers to the latest version of TRA tool, ECETOC
- 17 TRA Consumer v.3.1.
- 18 ECETOCTRA is the main consumer tool that allows batch processing of exposure calculations
- 19 and risk characterisations for many product and article categories. The ECETOC TRA consumer
- 20 tool Vs 3.1 is integrated in the CHEmical Safety Assessment and Reporting tool (CHESAR)
- 21 developed by ECHA. Therefore, main features of the tool are presented in this guidance.
- 22 However, the assessor will have to decide whether the assumptions that are integrated into
- 23 the tool are suitable for the consumer uses and risks that he has to assess.

R.15.4.1. Consumer Product and Article Categories

- 26 The core concept of the TRA tool is to provide a setting of defaults for 46 specific product and
- 27 article types relevant for consumer use. The product and article types driving the exposure
- 28 estimate in the TRA are referenced to the broader product and article categories in the use
- 29 descriptor system as presented in *Chapter R.12 of the IR&CSA guidance*.
- 30 In the initial assessment the TRA enables derivation of worst case exposure estimates for
- 31 broad product categories (so called sentinels) which contain more specific product
- 32 subcategories. If it turns out that adequate control of risk cannot be demonstrated on this
- basis, an assessment of the more specific product type can be launched. More than one
- 34 sentinel product/article and/or product subcategory can be evaluated simultaneously, but the
- 35 tool will not aggregate the exposure estimates. The product/article categories and
- 36 subcategories for which a TRA exposure estimate can be derived are listed in Appendix R.15.1.
- 37 This list does not include all types of consumer products. A registrant under REACH cannot rely
- 38 on this list as giving the complete overview on which consumer uses of the substance he
- 39 potentially has to assess. If a category of interest is not addressed by the TRA, then the
- 40 registrant could check whether his products and use conditions can be approximated by some
- 41 TRA categories, and if so make use of the TRA with appropriate justification of any deviations
- 42 and adaptations. The registrant could also consider assessing the exposure by Tier 1 algorithm
- 43 calculations (Section R.15.3) or by other tools like ConsExpo.
- 44 Moreover, ECETOC TRA enables the user to define a new (sub)product or article type, e.g. one

- 1 not covered by the list in Appendix R.15.1 or being a specific product for which habits and
- 2 practices and related input parameters are defined at the sector organisation level (so called
- 3 SCED, see Chapter R.15.4.5). Single registrants are advised to select this functionality only
- 4 when they use the products type and related input parameters as contained in the SCED
- 5 proposed by sector organisations.
- 6 The user of the ECETOC TRA tool is advised always to check:
 - If the use he wants to cover fits the (sub)category of product or article chosen
- If the scenario (e.g. target population covered, input parameters) described by the selected
 (sub) product or article category fits the use he wants to cover.

R.15.4.2. Input and output parameters

- 12 One algorithm per exposure route (dermal, oral, inhalation) is used to calculate the exposure
- for all consumer product and article categories. For the sentinel product/article, the exposure
- 14 estimates for each route corresponds to the highest exposure estimate of the individual
- product/article subcategories within the sentinel. The algorithms for each exposure route are
- 16 fully described in ECETOC Technical Reports TR 114 and TR 124. In the following text only
- input and output parameters are described.

18 Inhalation route

Output parameters

- The ECETOC TRA calculates the inhalation exposure as
- concentration in room air (mg/m³), resulting from one or more events of product/article application on the day of exposure;
- 23 Or as

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• dose (amount per kg bodyweight) inhaled over the duration of the event (depending on the product category 20 min to 8h).

Input parameters - Lower tier standard calculation

- Product ingredient (g/g): ECETOC TRA provides a default for each product or article type; this can normally be overwritten by the user;
- Amount of product used per application (g/event): ECETOC TRA provides a default for each product or article type; this can be overwritten by the user, who has to support his choice with proper justification;
- Spray application: ECETOC TRA provides a default for each product type (whether the
 product is intended to be sprayed or not); for some "not spray" products, the default
 setting can be modified by the user (from "not spray" to "spray");
- Frequency of use (events/day): the default value is assigned for each product type (normally 1 event/day) and is not modifiable by the user;
- Exposure time (hr): the default value is assigned for each product type and is not modifiable, unless a new (sub)product category is defined by the user;
- 40 The chemical physical parameters needed to run the exposure assessment are reported below:
 - Molecular weight (g/mol), which enables the calculation of the saturated vapour concentration
 - Vapour pressure (Pa), which enables the calculation of the saturated vapour concentration and fraction released to air (see table below).

- 1 For substances with a vapour pressure < 10 Pa in non-spray application, only a fraction of the
- 2 substance in the products or article is assumed to be transferred to air (vapour pressure bands
- 3 A to D, see Table R.15- 5).

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Table R.15- 5: Vapour pressure bands

Vapour pressure of compound of interest	Released % of the amount available for instantaneous release	Band
≥ 10 Pa	all compound	А
between 1 and 10 Pa	10 % of the compound	В
between 0.1 and 1 Pa	1 % of the compound	С
< 0.1 Pa	0.1 % of the compound	D

Any substance with a vapour pressure higher than 10 Pa is assumed to be completely released into air instantly. For a substance with low volatility only a fraction of it is assumed to be

released into the air. However, for all spray products it is assumed that substances are released fully and instantly into the air.

- Note: for activities taking place at a temperature different from ambient temperature (e.g.
- dishwashing products), the vapour pressure of the substance should be adapted to the process
- 12 temperature; in such a case, the operating temperature should be reported in the exposure
- 13 scenario as well.
- 14 ECETOC assumes that the TRA tool covers also the exposure of non volatile compounds, such
- as flame retardants and plasticizers in house dust. This is because the tool assumes that 0.1 %
- of the non-volatile compound evaporates immediately and is inhaled in the standard room with
- 17 standard ventilation. Therefore, ECETOC assumes also that this exposure covers not only the
- inhalation exposure, but also the dermal and oral exposure to this substance via house dust.
- 19 Note that when inhalation exposure is refined by higher tier options in the ECETOC TRA tool,
- 20 the resulting exposure levels may no longer cover exposure via the house-dust route.
- 21 Note: the tool does not cover exposure arising from dusty materials or from dust-generating
- 22 consumers' activities, since releases from a product are driven by the substance's vapour
- 23 pressure.

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Dermal route - Lower tier standard calculation

Output parameter

External dermal dose (expressed in mg/kg bw / day) over the day of exposure, resulting from one or more events of product/article application.

Input parameter

- Product ingredient (g/g): ECETOC TRA provides a default for each product and article type; this can be normally overwritten by the user
- Skin contact areas: ECETOC TRA provides a default for each product and article type according to one of eight categories (see below), each one is characterized by a default surface area for adults and children. This can be overwritten by the user, who has to support his choice with proper justification; if the selected target group is children, then the dose is adjusted to the child body weight.

- Frequency of use (events/days): the default value is assigned for each product type (normally 1 event/day) and is not modifiable by the user
- Thickness layer (cm): represents the thickness of the layer in contact with the skin and it is set to 0,01 for mixtures and 0,001 for articles; it is not modifiable by the user

Note: the dermal model only covers direct contact with the product or article, which in most cases can be considered the predominant route for dermal exposure; indirect dermal contact (e.g. via vapours or spray clouds) is not covered by the tool. The skin contact areas linked to product/article subcategories can be expressed in one of ten categories each characterized by a default surface area for adults and children:

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- 11 2 – two fingerprints
- 12 3 – palm of one hand
- 13 4 - inside (palms) of both hands / one hand
- 14 5 - hands
- 15 6 - hands and forearms
- 7 upper part of the body 16
- 17 8 - lower part of the body
- 18 9 - whole body except feet, hands and head
- 19 10 - whole body

Oral route - Lower tier standard calculation

22 Output parameter

External oral dose (expressed in mg/kg bw /day) over the day of exposure, resulting from one 24 or more events of product/article application

Input parameter

- Product ingredient (g/g): ECETOC TRA a provides default for each product type; this can be normally overwritten by the user
- Volume of product swallowed: ECETOC TRA provides a default for each product or article type. The volume for some product or article categories depend on the contact surface area and thickness of the layer (see discussion in Section R.15.3- Oral route -Scenario B). In such cases, the user can overwrite default surface area, providing proper justification.
- Frequency of use (events/days): the default value is assigned for each product type (normally 1 event/day) and is not modifiable by the user

REACH does not deal with accidents or assessment of consumer exposure to food, food-related or pharmaceutical products. This limits the relevance of consumer oral exposure to situations where: i) substances as such or in mixtures are unintentionally swallowed (e.g. ingestion through hand-mouth contact) or ii) where articles are mouthed by small children.

Higher tier option in ECETOC tool

- ECETOC TRA offers the option to perform additional refinement calculations which are beyond the first tier approach. Therefore, they need careful considerations by the assessor, including the issues discussed under 15.2.5 and 15.3. These refinement calculations are based on the following additional input parameters:
 - Transfer factor (Inhalation, Dermal, Oral). For the inhalation route this factor may be used to reduce the amount of substance (as such or in a mixture) to the amount actually available for instant release. For example, during tank filling with 70 I of gasoline, not all these 70 I are available to be released into air. The inhalation transfer factor (amount of the substance actually available for being released into the air) should not be confused with the fraction releases to air (driven by vapour pressure).

For the dermal route the factor may be used if there is evidence that the dermal load on skin in the dermal contact area is smaller than the load resulting from instantaneous release of all substance present in 0.01 cm (respectively 0.001 cm) contact layer. If the transfer factors are set to a value different from default (100%), a scientifically sound and robust argumentation should be provided by the registrant to justify the proposed value. If he cannot rely on peer-reviewed scientific exposure studies, it is highly unlikely that the necessary knowledge to justify transfer factors would be available at the level of a single registrant; therefore the advice is to use the transfer factors only in the context of SCEDs developed at the sector organization level (e.g. consumer product formulators or article producers or importers, see Section R.15.2.6).

Frequency over the year. Only when a new (sub)product category is defined, the user can chose to set the frequency band (frequent, occasional, infrequent, very infrequent) over the year. Compared to the frequent (daily) exposure, the exposure would be reduced by up to a factor of 100 by this procedure. However, following the considerations in Section R.15.2.3 of this guidance, it cannot be advised to use this function as it is.

• **Outdoor/Indoor use**. Only when a new (sub)product category is defined, the user can select an outdoor instead of an indoor use in order to refine the calculation for the inhalation route. The registrant should consider that due to the many influences on outdoor air dispersion in a housing environment, the assumption of equal distribution of the substance in outdoor air may not be valid. Especially for spray products, a peak exposure in a smaller space has to be assumed and this function should not be used.

R.15.4.3. Default values

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- Default values associated with subcategories, such as amount of product used per application and exposure time (for the inhalation route), were obtained from the RIVM (The National Institute for Public Health and the Environment, Netherlands) fact sheets for specific products, in order to build consistency with ConsExpo. When product-specific fact sheets were
- unavailable, values were derived using expert judgment. The supporting reference for the default values used to calculate exposure can be viewed for each subcategory in the 'defaults'
- 31 table. Only potentially significant exposure routes are 'flagged' for exposure assessment. A
- qualitative justification of why a particular route is not relevant for a particular product is
- 33 provided in the documentation of the tool.
- In some cases one route is more dominant than others. Then only the most dominant route is described, for instance dermal exposure for grease pastes (PC24), the inhalation exposure for
- described, for instance dermal exposure for grease pastes (PC24), the inhalation exposure for spray application of aircare products (PC3) and dermal exposure for fertilizers (PC12). This is
- important to realize, especially for situations where the most dominant route can be excluded,
- e.g. due to product characteristics. Exposure for the other route(s) should then still be
- considered. This means that it needs to be checked, whether the contribution of the second
- route becomes significant if exposure for the primary route is reduced to a large extent.
- 41 Use scenarios have been defined for all product and article subcategories according to the
- 42 potential exposure of consumers to these (sub)categories. The defaults used are presented in
- 43 the "defaults" table of the tool. The references for the defaults (RIVM reports, conservative
- 44 expert estimates) are specified in Appendix E of the ECETOC Technical report 107 (ECETOC
- 45 2009). Default values such as body weight, surface area, room volume and ventilation rate
- were obtained from the RIVM general fact sheet [11].

47 R.15.5. Advanced refinements, higher tier models and

- 48 measurements
- 49 More advanced refinement of exposure calculation and higher tier models may include, for

- 1 example, the consideration of time-dependent processes of migration and release of the
- 2 substance from a matrix, the deposition (adsorption) to other matrices (e.g. dust) and its
- 3 release (desorption) as well as the disappearance from the medium (e.g. by decrease of room
- 4 air concentrations due to ventilation or degradation). Expert assessors should normally
- 5 conduct these assessments.
- 6 Higher tier consumer exposure estimation uses more sophisticated and detailed and more
- 7 realistic parameters than Tier 1 tools. Therefore, a detailed description of the scenario and
- 8 reference to the models used for calculations, including all assumptions and results should be
- 9 reported in the CSR.

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R.15.5.1. ConsExpo

- 11 The ConsExpo (version 4.1) computer tool (downloadable from www.consexpo.nl) is a well-
- 12 known higher Tier tool for expert consumer exposure assessment. All the equations used are
- published in the ConsExpo manual [12]. An evaluation of the higher tier models showed that
- 14 ConsExpo has a reasonable coverage of many other available higher tier models [13]. If
- parameters are specified as distributions, ConsExpo can perform a distributed (Monte Carlo)
- 16 calculation. The program will draw a set of random numbers from the specified distributions
- 17 (uniform, normal, lognormal, triangular) for distributed parameters and calculate the endpoint
- of choice with this set. For the non-distributed parameters the specified point value is taken.
- 19 Exposure and dose distributions reflect stochastic parameters and these distributions can be
- 20 depicted and percentiles can be quantified. The program can provide sensitivity analyses for
- 21 each stochastic parameter, where mean exposures or doses as a function of the value of a
- 22 selected stochastic parameter are depicted and analysed. The ConsExpo model contains an
- 23 associated database reflecting the RIVM factsheets, which contains default parameters for a
- 24 large number of consumer products and scenarios (higher tier, see www.consexpo.nl).

Inhalation exposure

- The concentration of a chemical in room air will depend on the amount of chemical present in
- 27 the room, the room size, ventilation of the room, vapour pressure of the compound and the
- 28 rate at which the compound is released into the air. A refined estimation should consider time.
- 29 Modelling exposure therefore requires data that describe the duration of use and the duration
- 30 of primary and secondary exposure. For instance, 1 kg of paint may be used over a period of 2
- hours, followed by secondary exposure of 10 hours, which must be considered by the model chosen for estimating this exposure. As a further additional variable, room ventilation has to
- be taken into account for inhalation exposure. Depending on the information available on
- 34 physicochemical properties of the compound and the use of the product, different models are
- 35 available in ConsExpo.
- 36 The instantaneous release model. The model assumes that all compound is released from the
- 37 product at once into the room. When the ventilation rate is set at 0, this will result in the Tier 1
- 38 algorithms as described in Section R.15.3. The model is comparable to the ECETOC TRA
- 39 inhalation model when the ventilation rate is set to 0.6 exchange per hours and the volume of
- 40 the room is set to 20 m^3 .
- 41 <u>The constant rate model</u> describes the release of a compound with a constant rate of release
- 42 over a certain period of time. During this time, the compound is simultaneously removed from
- 43 the air by ventilation of the room. In addition to the parameters used in the Tier 1 inhalation
- 44 model, the constant rate model also uses the emission duration, i.e. the time during which the
- 45 compound is released.
- 46 <u>The evaporation model</u> describes the release of the compound from the surface of the product
- 47 by evaporation, and can be used if information on the application duration, the release area
- 48 and the release rate of the compound from the product is available. The release rate is
- 49 estimated from the temperature, the molecular weight, vapour pressure, and the mass
- transfer rate (the coefficient, which describes the transport conditions from the boundary layer

- 1 immediately above the liquid surface). The tool is suitable to estimate releases from mixtures,
- 2 not from articles; for the latter, a more targeted model (Section R.15.5.2.1) has been
- 3 developed by RIVM.
- 4 The spray model describes the indoor inhalation exposure to slowly evaporating or non-volatile
- 5 compounds in droplets that are released from a spray can. For volatile substances released
- 6 from a spray can, the evaporation model should be used to calculate exposure to the volatiles.
- 7 Inhalation is influenced by many factors such as the size of the droplets, the breathing pattern
- 8 and human physiology.
- 9 General exposure parameters needed for this model are spray duration, exposure duration,
- room volume, room height, ventilation rate and spray direction. The specific spray parameters
- are the mass generation rate, the airborne fraction, the weight fraction of non-volatiles, the
- mass density of the total of non-volatile compounds, the weight fraction of the substance in
- the mixture, and the initial particle distribution.

Dermal exposure

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- 15 For higher tier assessments, extractability of substances from articles e.g. textiles should be
- 16 considered. For migrating substances, only the part of the total amount available to/in contact
- with the skin is able to penetrate the skin. The models estimating dermal exposure in
- 18 ConsExpo are described here below.
- 19 The instant application model describes a low tier estimate. The model does not include the
- 20 product layer thickness that is included in Tier I algorithms in Section R.15.3 and ECETOC TRA.
- 21 <u>Constant Rate model</u>. Similarly to the Tier 1 'dermal scenario A' model, the constant rate
- 22 model assumes that any compound in the product is directly applied to the skin. The model
- calculates the amount of product per unit surface area of skin or per kg of body weight over a
- 24 period of time. Therefore, if a good estimate can be made of the time during which the
- compound is applied, this mode can be used instead of the instant application mode. Two
- 26 additional parameters are required for this mode: the release duration and the rate at which
- 27 the product is applied to the skin.
- 28 Rubbing Off model. This describes a secondary exposure situation in which a surface (table
- 29 top, floor) is treated with a product and dermal exposure arises from contact with the treated
- 30 surface. The additional parameters used in this model are the transfer coefficient (treated
- 31 surface area in contact with skin/ time), the dislodgeable amount, the contact time and the
- 32 rubbed surface.
- 33 <u>Diffusion model</u>. This describes the diffusion of substance into skin due to direct application of
- a product to the skin. After application, the compound diffuses through the product to the skin.
- 35 The diffusion model can be used if the diffusion coefficient of the compound in the product is
- 36 known or can be estimated. The model requires the following additional parameters: the
- 37 diffusion coefficient, the layer thickness of the applied product and the exposure time.
- 38 <u>Migration model</u>. This describes the migration of a compound from a material to the skin when
- 39 dermal contact with the material occurs. The migration is specified as a 'leachable fraction':
- 40 the amount of substance that migrates to the skin per unit amount of product. Typically, this
- fraction has to be determined in extraction experiments with sweat simulant. This model can
- be used, for instance, to estimate exposure to dyes leaching from clothing to the skin.

Oral exposure

- 44 The models estimating oral exposure in ConsExpo are described here below.
- 45 <u>The direct intake model</u> describes a low tier estimate, and is comparable to the algorithm
- described in Section R.15.3 and in Section R.15.4 (ECETOC TRA tool).
- 47 Constant Rate model. This describes a scenario in which the compound is taken in over a
- 48 certain period of time, e.g. to estimate (secondary) exposure originating from dermal exposure
- 49 on the hands and subsequent hand-mouth contact. The additional parameters used in this

- 1 model are ingestion rate and exposure time.
- 2 Oral Migration from Packaging Material. This secondary exposure model calculates the
- exposure to compounds from packaging material via food 17. The migration of the compound 3 4
 - into the food is calculated from the concentration of the compound in the packaging material,
- 5 the contact area of the packaging and the food and the initial migration rate. The oral
- 6 exposure resulting from food consumption is subsequently calculated by assuming that the
- 7 migrated compound is homogeneously distributed over the food and that the intake of the
- 8 compound is therefore proportional to the fraction of packaged food consumed.

R.15.5.2. Other tools

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There are sector specific tools, largely based on Tier I algorithms, where habits and practices from sector organizations are specified and used as input parameters. Two models need to be mentioned:

- AISE (International Association for Soaps, Detergents and Maintenance Products) has developed a model, REACT Consumer Tool, which allows quantitative estimation of exposure to substances that are present in products (washing and cleaning - PC 35, air freshener - PC3 and polishes and wax - PC31) used by consumers. The tool calculates exposure via inhalation, dermal, and oral routes separately and also provides a summation of all the relevant exposure routes. The model uses as input parameter habits and practices coming from HERA Project (see Appendix R.15.2). It should be noted that the tool does not cover the evaporation of volatile substances from the product, since it considers the inhalation route relevant for spray applications only. The tool is freely available on the AISE website (www.aise.eu).
- ESIG (European Solvent Industry Group) has developed the EGRET consumer tool (2010). The tool takes the default assumptions and algorithms (equations) described in the ECETOC TRA, but it introduces refined default values for those product categories relevant to solvents. Since the tool addresses all Product Categories (PC) potentially applicable to solvents, additional PCs (not assessed by ECETOC TRA) are covered by the ESIG tool (e.g. anti-freezing and de-icing products - PC4, different fuel products -PC13, functional fluids – PC17). Relevant characteristics of the tool are presented hereafter. First, the tool suggest refined default values for product subcategories which are not agreed among stakeholder (as is the case for the ECETOC TRA tool). Second, the model introduces automatic refinement if the event exposure exceed the long term DNEL. Some of these refinements consist in additional measures on the part of the consumer and may not be easy to communicate or implement (e.g. "open windows"); or linear averaging of the event exposure over the day and over the year, which might be in contradiction with provisions reported in Section R.15.2.3. The tool is freely available at ESIG website (<u>www.esig.org</u>)¹⁸.

Several previous route-specific models and general consumer exposure models are now

¹⁷ ConsExpo model covers consumer exposure situations beyond the scope of REACH. Please note that. exposure from food or food-related products is outside the scope of REACH.

¹⁸ Following feedback from users and stakeholders, notably discussions at ENES and experiences arising from the (mis) application of EGRET in some 2010 chemical safety assessments, ESIG initiated a revision of EGRET in 2014. The most recent version of EGRET (v.2) now accommodates improved functionalities to address this feedback and in particular to minimize potential misuse of the model in the future. The updated tool has been officially released in December 2015 and is now available for downloading at the ESIG website.

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- integrated into the US EPA E-Fast model (US EPA, 2015) (see Computer tools for estimation of consumer exposure, Appendix R.15.3).
- 3 Other potentially relevant tools are also described in Appendix R.15.3.

R.15.5.2.1 Substances in articles

7 Regarding the exposure to substance in articles, RIVM has developed (2010) the Emission 8 Model to specifically estimate the inhalation exposure after release of chemicals from solid 9 materials [14]. The model takes into account the diffusion of a substance in a material, the 10 mass transfer from material into air and removal of the substance from residential air by ventilation. The tool simulates time profiles of the air concentration and mean air 11 concentrations arising from emission. The model is based on well-established modelling of 12 emissions from building materials, and is designed for specific shapes (e.g. slab like articles 13 14 like panels, flooring, etc.); extrapolation to other shapes may introduce an unknown degree of uncertainty. The model, its underlying assumptions and an overview of available input data 15 16 and methods to estimate key input parameters are described in [14]. The program is freely

17 available for download from www.consexpo.com.

R.15.5.3. Overview of the consumer estimation tools

19 Table R.15- 6 below shows an overview of the modelling tools mentioned in Sections R.15.4

and R.15.5. It includes a brief description of the tools, the main characteristics and the tool

21 boundaries.

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Table R.15- 6: Overview of the consumer exposure estimation tools

Model	Summary	Main characteristics	Boundaries
ECETOC TRA v.3.1	Partially based Tier 1 algorithms (inhalation/dermal/oral) Allows batch processing of exposure calculations a for many PCs/ACs Default values provided for all PCs/ACs covered by the tool Possible to define a new product/article subcategory It is integrated in Chesar	It allows lower tier assessment as well as higher tier assessment when transfer factors, frequency bands and outdoor/indoor use are set by the user	arising from dusty materials or from
Consexpo	Higher tier model which contains 4 sub models for inhalation, 5 for dermal and 3 for oral exposure Possible to perform Montecarlo distribution (uncertainty analysis) Contains an associated database reflecting the RIVM factsheets, which contains default parameters for a large number of consumer products and scenarios	Consexpo contains mainly higher tier sub models, for which relevant number of input parameters is needed. If the scenario is not supported by RIVM factsheet, the registrant needs to estimate those parameter's values by himself	suitable to estimates exposure from solid material Spray model not suitable for estimate exposure from volatile substances in the sprayed

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Model	Summary	Main characteristics	Boundaries
EGRET	Based on the same principle of TRA v.3.1 (inhalation/dermal/oral). New products are added and default provided to cover solvent sector. New refined default are proposed for all the PCs covered by the tool	It allows lower tier assessment (as for TRA) as well as higher tier assessment when refined default values are proposed, transfer factors introduced, frequency over the day/year assumed (linear averaging), outdoor activities or extra ventilation assumed.	Same as TRA v. 3.1
REACT	Based largely on Tier 1algorithms (inhalation/dermal/oral). It contains several sub products within PC3, PC31, PC35. For all sub products default values coming from HERA project are given	Can be considered as Tier 1 tool with refined sub product categorisation (PC3, PC31, PC35) and refined default values assigned to them	evaporation of volatile substances
RIVM emission model	It estimates releases from solid materials to indoor air and subsequent inhalation exposure concentration in a room. It is based on Tier 2 algorithms which take diffusion and mass transfer mechanism from solid material to indoor air into account. Exposure are represented by profile concentration in indoor air for selected time	As Tier 2 tool, it requires input parameters such as diffusion, partitioning coefficient and mass transfer coefficients. Values and equation on how to derive them are reported for number of materials and substance's types in the tool supporting guidance	from liquid mixture. It does cover slab like articles (e.g. panels,

R.15.5.4. Measurements

- 3 In general measured data are preferred over modelled data, provided that they are reliable
- 4 and representative for the situation that needs to be assessed. For most consumer exposure
- 5 scenarios, measurements of the actual exposure of consumers will not be available. However,
- 6 it may be possible that for one or more of the parameters used in the estimations
- 7 measurements are available and can be used to override the default values (see Appendix
- 8 R.15.4 for room volumes, air exchange rates, migration rates, ad- and desorption as well as
- 9 absorption rates). If needed, reasonable worst-case assumptions can be replaced by
- 10 considering measured parameter values and their variability.
- 11 Exposure data, including releases from articles and room concentrations, might be generated
- within other legislation frameworks, such as the product safety legislation. The latter could be
- 13 very interesting for an assessor under REACH since they may be already available for a
- 14 representative range of conservative scenarios. For example, the Construction Products
- Regulation (CPR) might be a source of information to support the assessment under the
- 16 REACH of some mixture or article used as building materials. Under this framework, some
- 17 Member States (Belgium, France, Germany) require the testing of some construction products
- 18 (e.g. floorings, adhesives) to measure the emissions to indoor air of some substances and
- 19 simulates the indoor concentration arising from such releases. This testing is done in a
- standardised default "chamber test" (relative humidity 50% and temperature 23 C) according
- 21 to the project European Standard prEN 16516.
- There may be measurements of external exposure (i.e. concentrations in the environment in
- 23 which the contact takes place) as well as measurements of internal exposure (e.g. in blood or
- 24 tissues) available. Non-volatile substances may accumulate in house dust. For such
- substances, release from consumer articles e.g. furniture, textiles, and building material may
- be monitored by measurements performed in house dust. The uptake is then calculated by
- 27 multiplying the concentrations with dust uptake defaults. Measurements of concentrations in
- 28 house dust yield 'aggregate' values, including possibly the contribution of environmental
- 29 sources or products not regulated under REACH, such as cosmetics. Such measurements are
- 30 then to be regarded as upper limits of exposure. Monitoring data may be available e.g. on
- 31 substances with a (potential) PBT or vPvB profile. Measured data have to be representative of
- 32 the Exposure Scenario to be assessed, i.e. they reflect the conditions of use set in the ES.
- 33 Data from biomonitoring or occupational exposure programmes may be valuable for consumer
- 34 exposure estimations, although their number, representativeness and quality will often vary
- 35 widely. Measured data from surrogate substances or analogues and surrogate scenarios (e.g.
- 36 chamber measurements) may also be useful when estimating exposure levels.
- 37 Several sources of measured data are reported in in Appendix R.15.2.

R.15.6. Risk Characterisation

Risk characterisation is expected to address both qualitative assessment (for hazards with no DNELs available) and quantitative assessment (hazards where DNELs are available) for each use and its contributing scenario.

- Qualitative risk characterisation: Provide the arguments that the conditions of use as described in the exposure scenario will make it unlikely that adverse effects occur. Most often this refers to hazards like irritation, corrosion and sensitisation. For the inhalation route exposure estimates may be helpful to demonstrate that exposure concentration is indeed limited to the minimum or absent. Where CMR substances with no threshold are to be assessed for consumer uses (potentially relevant for substances in article), the risk characterisation can additionally be referred to a Derived Minimum Effect Level (DMEL). If the estimated exposure is lower than the DMEL, the measures described to prevent exposure can be considered appropriate.
- Quantitative risk characterisation: Compare the estimated exposure on the relevant routes with the DNELs and derive risk characterisation ratios (RCR). The Tier 1 exposure estimation and/or information from higher tier tools/models and/ore measured data (if deemed necessary) can be used in the quantitative risk characterisation (see Part E of the IR&CSA guidance). If the exposure is below the DNEL, the risk is considered controlled (RCR < 1). For consumers regularly the risk is to be characterised against DNELs long-term systemic hazards. Depending on the duration and frequency of event and the substance properties (toxicity and half-life in body) a particular risk characterisation for acute or short term single (infrequent) exposure events may be appropriate. This may be based short-term DNELs or on long-term DNELs (using adjustment factors)

A risk characterisation is required for all uses and their contributing scenarios, differentiated according to routes of exposure. Risks from **combined exposure across the three routes** is to be characterised by building the sum of RCRs per contributing scenario.

For products designed for use by **children** or for consumer products for which the habits and practices of children significantly differ from those of adults (e.g. mouthing and crawling behaviour) particular risk characterisation for children should be provided. The assessment should be based on the specific body parameters of children.

According to REACH Annex I, the registrant should consider risks from combined/(aggregated)¹⁹ exposure across different uses (products) relevant for his

¹⁹ Please note, that the REACH terminology is not fully aligned with the one used at OECD and WHO level with regard to the use "combined exposure or risk". Under REACH this always refers to one substance and not to combination of different substances.

The WHO/IPCS Framework on Combined Exposure defines the terms aggregated and cumulative exposure as:

Aggregate exposure: The demographic, spatial and temporal characteristics of exposure to a single chemical through all relevant pathways (e.g. food, water, residential uses, occupational) and routes (e.g. oral, dermal, inhalation). **Aggregate risk** is the risk associated with multiple pathways/routes of exposure to a single chemical.

Cumulative exposure: Defines the aggregate exposure (see above) to multiple chemicals. **Cumulative risk** is the combined risk from aggregate exposure to multiple chemicals (and may be restricted to chemicals that have a common mechanism of toxicity).

- 1 substance. He is, however, not obliged to carry out a risk characterisation related to uses of 2 the substance not covered in his own registration."
- 3 In the context of this quidance, the addition of routes will be referred by "combination" while
- 4 the addition of sources will be referred by "aggregation".
- 5 Risks resulting from exposure to the substance via simultaneous use of different products may
- be taken into account (where relevant) through summing up of risk characterisation ratios for 6
- 7 systemic effects across exposure scenarios. This could be relevant for instance when products
- are used routinely together (e.g. cleaning products) and the risk characterisation for the single 8
- 9 product use is close to 1. However please note that simply summing up the RCRs resulting
- from Tier 1 tool estimates leads to a rather conservative outcome. In most cases more 10
- sophisticated (probabilistic) methods and corresponding datasets will be needed, in order to 11
- 12 properly reflect the co-use pattern of products across consumers. Such methods are under
- 13 development for certain product groups (see also [15] and [16]). Exposure to the substance
- 14 via different products may be also relevant when adjusting assessments for short duration
- 15 over the day, or when characterising the risk related to infrequent exposure.
- 16 In addition to direct exposure resulting from the use of products, the general population may
- 17 be exposure to the substance via the environment (ambient air, drinking water and food). In
- 18 the environmental assessment these routes are considered by default and the resulting risk
- 19 characterisation for long-term systemic effects may need to be taken into account when
- 20 assessing the overall exposure to a substance (see Chapter R.16 of the IR&CSA Guidance
- explains the assessment of exposure of man via the environment). 21
- 22 The outcome of the risk characterisation is used to decide whether safe use can be
- 23 demonstrated or if further iterations are needed. Once the final iteration has shown sufficient
- 24 control of risks for consumers the assessment can be finalised. This is the case if i) the
- 25 exposure estimates are below the DNEL and ii) the likelihood of effects due to irritation,
- 26 corrosion and sensitisation or other non-threshold effects is negligible.
- 27 The RMMs and operational conditions ensuring control of risk for consumers (i.e. mainly the
- 28 characteristics of a safe consumer product and the underlying assumption on habits and
- 29 practices) should be documented in final exposure scenarios.
- 30 If certain consumer uses are not supported or are advised against due to health risks, this
- 31 should be recorded in the CSR and communicated via the extended Safety Data Sheet
- 32 (extended SDS).
- 33 In order to produce a meaningful risk characterisation it is important for the assessor to
- understand and take into account the uncertainties associated with the information/data that is 34
- 35 provided (related to both hazard assessment and exposure assessment). The registrant is
- 36 expected to include a reflection on the most significant uncertainties in his assessment into
- 37 section 9.0 of the CSR. Chapter R.19 of the Guidance on IR&CSA contains more information on
- 38 using uncertainty analysis.

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Appendix R.15.1 Consumer product and article categories

Chapter R.12 (on Use description) of the Guidance on IR&CSA provides lists of the Product Categories (PCs) and Article Categories (ACs). Table R.15- 7 and Table R.15- 8 list PCs and ACs which describe uses regulated by REACH and which are generally considered to potentially result in significant exposures of consumers. These PCs and ACs with specific subcategories can be assessed by using the ECETOC TRA consumer tool. The tables were agreed as a non exhaustive list of relevant consumer product and article categories upon by the ECHA consumer expert group comprised of representatives of ECHA, ECETOC, RIVM, BfR, INERIS and the Danish EPA during 2008-2009. The Table R.15- 8 also provides cross references between ACs as provided in Chapter R.12 and a list of AC subcategories proposed by ECETOC for the assessment. Please note that this list do not cover all the relevant consumer uses. (see Section R.15.4.1)

Table R.15- 7: Consumer products addressed in the consumer TRA

Descriptor	Product Subcategory		
	Glues, hobby use		
PC1:Adhesives, sealants	Glues DIY-use (carpet glue, tile glue, wood parquet glue)		
	Glue from spray		
	Sealants		
	Air care, instant action (aerosol sprays)		
PC3: Air care product	Air care, continuous action (solid & liquid)		
	Waterborne latex wall paint		
PC9a:Coatings, paints , thinners, removers	Solvent rich, high solid, water borne paint		
	Aerosol spray can		
	Removers (paint-, glue-, wall paper-, sealant-remover)		
PC9b:Fillers, putties, plasters,	Fillers and putty		

Descriptor	Product Subcategory
modelling clay	Plasters and floor equalizers
	Modelling clay
PC9c: Finger paints	Finger paints
PC12: Fertilizers	Lawn and garden preparations
PC13: Fuels	Liquids
PC24: Lubricants, greases,	Liquids
release products	Pastes
	Sprays
PC31: Polishes and wax blends	Polishes, wax / cream (floor, furniture, shoes)
	Polishes, spray (furniture, shoes)
	Laundry and dish washing products
PC35: Washing and cleaning products (including solvent based products)	Cleaners, liquids (all-purpose cleaners, sanitary products, floor cleaners, glass cleaners, carpet cleaners, metal cleaners)
	Cleaners, trigger sprays (all-purpose cleaners, sanitary products, glass cleaners)

Table R.15- 8: Article Categories addressed in the consumer TRA and cross reference to Article categories reported in *Chapter R.12*

Descriptor	Article subcategory (ECETOC)	Article category (Chapter R.12)
	Clothing (all kind of materials), towel	AC5f: Fabrics, textiles and apparel : articles with intense direct dermal contact during normal use
AC5:Fabrics, textiles and apparel	Bedding, mattress	AC5g: Fabrics, textiles and apparel: articles with intense direct dermal contact during normal use: bedding and mattresses
арраго:	Toys (cuddly toy)	AC5b: Fabrics, textiles and apparel: toys intended for children's use (and child dedicated articles)
	Car seat, chair, flooring	AC5e: Fabrics, textiles and apparel: furniture & furnishing, including furniture coverings Or AC5a: Fabrics, textiles and apparel: large surface area articles
	Purse, wallet, covering steering wheel (car)	AC6g: other leather articles
AC6: Leather articles	Footwear (shoes, boots)	AC6f: Leather articles : article with intense direct dermal contact during normal use
	Furniture (sofa)	AC6e: Leather articles : furniture & furnishing, including furniture coverings
AC8:Paper articles	Diapers	AC8f1: Paper articles: articles with intense direct dermal contact during normal use: personal hygiene articles
	Sanitary towels	AC8f1: Paper articles: articles with intense direct dermal contact during normal use: personal hygiene articles

Descriptor	Article subcategory (ECETOC)	Article category (Chapter R.12)	
	Tissues, paper towels, wet tissues, toilet paper	AC8f1: Paper articles: articles with intense direct dermal contact during normal use: personal hygiene articles	
	Printed paper (papers, magazines, books)	AC8f2: Paper articles: articles with intense direct dermal contact during normal use: printed articles with dermal contact in normal conditions of use	
Rubber handles, tyres		AC10e: Rubber articles: furniture & furnishing, including furniture coverings Or AC10g: other rubber articles	
AC10:Rubber articles	Flooring	AC10a: Rubber articles: large surface area articles	
	Footwear (shoes, boots)	AC10f: Rubber articles: article with intense direct dermal contact during normal use	
	Rubber toys	AC10b: Rubber articles: toys intended for children's use (and child dedicated articles)	
	Furniture (chair)	AC11e: Wood articles: furniture & furnishings	
AC11:Wood articles	Walls and flooring (also applicable to non-wood materials)	AC11a: Wood articles: large surface area articles	
articles	Small toys (car, train)	AC11b: Wood articles: toys intended for children's use (and child dedicated articles)	
	Toys, outdoor equipment	AC11f: Wood articles: articles with intense direct dermal contact during normal use	

Descriptor	Article subcategory (ECETOC)	Article category (Chapter R.12)
AC13:Plastic articles	Plastic, larger articles (plastic chair, PVC-flooring, lawn mower, PC)	AC13a: Plastic articles: large surface area articles Or AC13e: Plastic articles: furniture & furnishing, including furniture coverings Or AC13g: Other plastic articles
	Toys (doll, car, animals, teething rings)	AC13b: Plastic articles: toys intended for children's use (and child dedicated articles)
	Plastic, small articles (ball pen, mobile phone)	AC13f: Plastic articles: articles with intense direct dermal contact during normal use

Appendix R.15.2 Valuable sources on exposure data

Main EU consumer exposure databases

BUMAC Database

The BUMAC database is a well-designed consumer product emission database created within the framework of the EPHECT Project. The EPHECT Project focuses its efforts on European use and use patterns of relevant consumer products and contributes to a better understanding of multiple exposures to air pollutants emitted by typical household products. The specific objective of the BUMAC database is the creation of a database on the state-of-the-art of emissions and health end points from consumer products. The primary purposes of the database development were to: a) Create an overview of the available consumer products emission data; b) Create an overview of the existing data gaps.

The BUMAC database is set-up as a compilation of data on the current state-of-the-art on consumer product compositions and emissions, on test chamber experimental results, exposures, risks and health end points. Qualitative data were assured by using only data outcomes from procedures derived from standardized emission test protocols. The key indoor air pollutants and emerging pollutants studied constitute of (1) compounds prioritized by relevant international concerted actions or international organizations, such as INDEX, BUMA and WHO and (2) compounds reported in open literature as (potential) hazardous and occurring in this type of consumer products. They include gaseous and particulate matter emissions, secondary reactions and degradations of coated surfaces. The database allows a clear view on current research gaps.

- 24 The database is accessible via the webpage of EPHECT Project:
- 25 https://esites.vito.be/sites/ephect/Pages/home.aspx

IPCheM Database

IPCheM - the Information Platform for Chemical Monitoring - is a single access point for locating and retrieving chemical monitoring data collections managed and available to 'European Commission, European Agencies, Member States, international and national organisations and researchers. The Platform aims to support a more coordinated approach to collecting, storing and accessing monitoring data on chemicals and chemical mixtures, in humans and in the environment. IPCheM is a de-centralised system, providing remote access to existing information systems and data providers. The database contains also a "Product and indoor air module" associated to exposure inside the buildings. Indoor and outdoor sources of air pollution include chemical emissions from construction and consumer products. The Joint Research Centre Institute for Health and Consumer Protection is responsible for this module.

The database is accessible via the link: https://ipchem.jrc.ec.europa.eu/ and it will be soon available to general public.

Table R.15- 9: Further information

Acrony m	Full name	Country	Remarks	Contact
AIHC	American industrial health council (1994). Exposure factors handbook	US	Anthropometric data on adults and children, behaviour data, given as distributions	Update coordinator, Suite 760, 2001 Pennsylvania Ave. NW, Washington DC 20006-1807
BVL	Federal office for Consumer Protection and Food Safety Food monitoring, focus to Germany	DE	German monitoring data on undesired substances in foodstuffs, cosmetics and consumer articles.	mailto:poststelle@bvl.bund. de https://www.bvl.bund.de
СЕРА	Air toxic Hot Spots Program Risk Assessment Guidelines Californian Environmental Protection Agency.	US	Part IV Technical Support for Exposure Assessment and Stochastic Analysis	www.oehha.ca.gov/air/hot spots/finalStoc.html
CH-PR	Swiss product register	СН	Product information, given on request	Contact: Dr P. Bormann, Swiss Federal Health Office, Bern, Switzerland
ECETOC	Exposure Factors Sourcebook for European Populations (with focus on UK data)	EU	Probability analysis Anthropometrics Time activity patterns	www.ecetoc.org
CEPE	European Council of producers and importers of paints, printing inks and artists' colours	EU	European industrial association, focus on paints, printing inks and artists' colours	http://www.cepe.org/efede/public.htmh

AISE	International Association for Soaps, Detergents and Maintenance Products		International Association, focus on household cleaning and maintenance products	https://www.aise.eu/h
JRC-IHCP	European Exposure Factors (ExpoFacts) Sourcebook (based on CEFIC-LRI project)	30 European countries: EU member states in addition to Iceland, Norway and Switzerland	Database of statistics and reference factors affecting exposure to environmental contaminants	http://expofacts.jrc.ec.euro pa.eu
	The Danish EPA	DK	Study reports on chemicals in consumer products and articles	http://www.mst.dk/English
ChEmiTec s	Project finansed by the Swedish EPA	SE	Research and studies on emission of organic chemicals from articles	http://www.chemitecs.se/
Kemikaliei nspektion en	Swedish Chemicals Agency	SE	Webpage on mass flow analysis of substances, statistics on use of chemicals in Sweden	http://www.kemi.se
PR-FIN (KETU)	Finnish product register	FIN	Product information	www.valvira.fi
	Climate and Pollution Agency	NO	Webpage on various substances found in articles	http://www.klif.no
	Finland's environmental administration	FIN	Information of substances in textiles can be found here	http://www.ymparisto.fi/

DTU	Food. National food institute	DK	Information on migration from food packet materials.	http://www.food.dtu.dk
OECD	OECD Task Force exposure assessment		Work on consumer exposure ongoing	http://www.oecd.org/chemicalsafety/assessmentofchemicals/oecdactivitiesonexposureassessment.htm
EPHECT	VITO	BE	EU Project on consumer products to be potential sources of hazardous air pollutants in dwellings.	https://esites.vito.be/sites/ ephect/Pages/home.aspx
RIVM	Emission tool report	NL	Information on emissions from articles related to consumer exposures	http://www.rivm.nl/dsresou rce?objectid=rivmp:24644& type=org&disposition=inlin e
PR-S	Swedish product register	SE	Product information	www.kemi.se
PR-D	Danish product register	DK	Product information	http://www.at.dk/
SPIN	Nordic SPIN database	NO, SE, DK, FI, IS	Product information from the Nordic product registers	www.spin2000.net
RefXP	Exposure Factors Database Umweltbundesam t	DE	Update of AUH data with probabilistic focus	http://www.umweltbundesa mt.de/service-e/uba- datenbanken-e/index.htm
RIVM	te Biesebeek et al., ConsExpo General Fact Sheet	NL	General information, room volumes, room ventilation data	www.rivm.nl

RIVM- paint	Bremmer HJ, Van Engelen, JGM (2007) Factsheet paint	NL	Use data on paints, paint classification, characterisation of paint use, focus on NL	www.rivm.nl
RIVM-DIY	Ter Burg W. et al. (2007) Factsheet Do It Yourself products	NL	Use data on do it yourself products.	www.rivm.nl
US EPA	Environmental Protection Agency (1997). Exposure Factors Handbook.	US	Substantial compilation of exposure factors	www.epa.gov
HERA	Human and Environmental Risk Assessments on ingredients of household cleaning products	EU	Data on household cleaning products, collected by A.I.S.E and CEFIC	www.heraproject.com
CEFIC	European Chemical Industry Council	EU	European industrial association (all chemical industries)	http://www.cefic.org/

Appendix R.15.3 Computer tools for estimation of consumer exposure

INTRODUCTORY REMARKS

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All the computer tools mentioned in this section can be helpful in performing exposure assessments. It has to be kept in mind while using them that they are designed to address different scenarios (e.g. routes, products or articles types) and thus reflect different scientific approaches. First of all, the assessor must be aware that the scenarios governing the model characterisation are different. For instance, the ConsExpo inhalation exposure scenarios (see Section R.15.5.1) are based on a one room lay-out, while the CEM program (US-EPA) considers exposure in a whole house with different rooms and differentiated scheme of times staying in the rooms throughout a day of users and non-users. It is clear that these differences in the scenario must lead to different results and the assessor has to document the reasons for favouring a specific model.

Note: This section does not discuss the models presented elsewhere in the guidance text, namely ECETOC TRA (Section R.15.4), ConsExpo (Section R.15.5.1) and other tools such as RIVM Emission tool (Section R.15.5.2).

US EPA Wall Paint Exposure Assessment Model (WPEM)

The Wall Paints Exposure Assessment Model (WPEM) estimates the potential exposure of consumers and workers to the chemicals emitted from wall paint which is applied using a roller or a brush. WPEM is a user-friendly, flexible software product that uses mathematical models developed from small chamber data to estimate the emissions of chemicals from oil-based (alkyd) and latex wall paint. This is then combined with detailed use, workload and occupancy data (e.g., amount of time spent in the painted room, etc.) to estimate exposure. The output of WPEM was evaluated in a home used by EPA for testing purposes and, in general, the results were within a factor of 2. The WPEM provides exposure estimates such as lifetime and average daily doses, lifetime and average daily concentrations, and peak concentrations.

Specific input parameters include: the type of paint (latex or alkyd) being assessed, density of the paint (default values available), and the chemical weight fraction, molecular weight, and vapour pressure. Occupancy and exposure data are provided by the model as default values but the model is designed to be flexible and the user may select other values for these inputs: activity patterns on weekdays/weekends for workers or occupants, and during the painting event; number of exposure events and years in lifetime; room size (volume); building type (e.g., office, single family home); number of rooms being painted; air exchange rates; etc. For those chemicals for which the mathematical emissions model does not apply, emissions data can be entered manually.

- 39 Status and availability 40 WPEM Version 3.2, a Windows-based tool is available. The model has been peer reviewed by 41 experts outside EPA. This model was developed under contract for the EPA's Office of Pollution Prevention and Toxics, Economics, Exposure, and Technology Division, Exposure Assessment 42 43 Branch. WPEM was developed under the Design for the Environment Program, Designing Wall 44 Paints for the Indoor Environment. This project was accomplished in coordination and 45 cooperation with the National Paint and Coatings Association (NPCA), in addition to paint 46 manufacturers and chemical suppliers.
- 47 The model, user's guide and background document is available as a pdf file via

http://www.epa.gov/oppt/exposure/.

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Consumer Exposure Model (CEM)

The Economics, Exposure and Technology Division (EETD) of the Office of Pollution Prevention and Toxics (OPPT) of EPA is responsible for conducting specific activities in support of the Agency's risk assessment process. One of these responsibilities is to assess new and existing chemical substances under the Toxic Substances Control Act (TSCA). CEM, developed by Drewes and Peck (1999) is designed to provide EETD's Exposure Assessment Branch and Chemical Engineering Branch with an easy way to perform consumer inhalation and dermal exposure assessments for OPPT's new and existing chemical programs. The methods used to perform these assessments often involve generic screening-level techniques to allow exposures to be estimated rapidly. CEM has been programmed in C++/Windows and is designed to be run on a personal computer.

14 CEM is an interactive model which calculates conservative estimates of potential inhalation 15 exposure and potential for absorption through dermal exposure to consumer products. Consumer inhalation exposures modelled in CEM use the same approach and calculations as 16 17 the Multi-Chamber Concentration and Exposure Model (MCCEM), as well as scenarios depicted 18 in the Screening -Level Consumer Inhalation Exposure Software (SCIES). Dermal exposures are modelled using the same approach and equations as the DERMAL Exposure Model. CEM 19 20 allows for screening-level estimates of acute potential dose rates, and estimation of average 21 and lifetime average daily dose rates. Because the model incorporates upper percentile and 22 mean input values for various exposure factors in the calculation of potential exposures / 23 doses, the exposure / dose estimates are considered "high end" to "bounding" estimates.

24 The dermal portion of CEM uses a film-thickness approach which assumes that exposure 25 occurs from a thin layer of the consumer product on a defined skin surface area to determine 26 potential exposure. Few data exist on the actual thickness of films of various products on 27 human skin. Therefore, due to the uncertainty associated with the amount of product forming 28 a film on the skin the dermal exposure estimates are considered less certain than those 29 calculated in the inhalation portion of CEM. Absorbed dermal dose rates can be calculated 30 using a permeability coefficient or a log octanol water coefficient, but these values and their 31 use in calculating exposure also involves uncertainty. Absorbed exposure can only be 32 calculated for the User-Defined Scenario in CEM.

The consumer exposure scenarios were selected for inclusion in the model by EETD because they are products or processes for which exposure assessments are most frequently performed during the new chemical review process. In addition to these scenarios, users are able to create their own scenario. CEM is user friendly and provides on-line help to assist the user in optimizing model use.

The CEM programme covers most of the scenarios needed for consumer exposure modelling. It should be noted that input data are needed for 50th and 95th percentiles.

40 CEM is now integrated in the E-Fast program, available via

41 http://www.epa.gov/oppt/exposure/pubs/efastdl.htm

1 US EPA Multi-Chamber Concentration and Exposure Model (MCCEM)

2 <u>Features</u>

- 3 The Multi-Chamber Concentration and Exposure Model (MCCEM) Version 1.2 (GEOMET, 1995)
- 4 was developed for the US EPA Office of Pollution Prevention and Toxics to estimate indoor
- 5 concentrations for chemicals released in residences). The features of MCCEM include:
- 6 MCCEM needs time-varying emission rates for a chemical in each zone of the residence and
- outdoor concentrations. The emission rates of pollutants can be entered into the model either
- 8 as numbers or as formulas;
- 9 inhalation exposure levels are calculated from the estimated concentration if the user specifies
- 10 the zone where an individual is located in a spreadsheet environment;
- 11 MCCEM has data sets containing infiltration and inter-zonal airflow rates for different types of
- 12 residences in various geographic areas. The user can select from the data sets, or can input
- zone descriptions, volumes and airflow rates;
- 14 concentrations can be modelled in as many as four zones (chambers) of a residence;
- 15 the programme is capable of performing Monte Carlo simulation on several input parameters
- 16 (i.e., infiltration rate, emission rate, decay rate, and outdoor concentration) for developing a
- 17 range of estimates for zone-specific concentrations or inhalation exposures;
- the programme has an option to conduct sensitivity analyses of the model results to a change
- in one or more of the input parameters;
- 20 the percentage of cases for which modelled contaminant concentrations are at or above a
- 21 user-specified level of possible concern or interest is determined.

22 Theoretical

- 23 This multi-chamber mass-balance model has been developed by using air infiltration rates and
- corresponding inter-zonal air flows for a user-selected residence or a user-defined residence.
- 25 This model provides a spreadsheet to the user for entering time-service data for emission rates
- 26 in one or more zones, the zone of exposure, and concentration values of the contaminant
- 27 outdoors.
- 28 Information assembled by Brookhaven National Laboratory concerning measured infiltration or
- 29 exfiltration airflow, inter-zonal airflow, and the volume and description of each zone for
- 30 different types of structures in various geographic areas has been incorporated in the software
- 31 for access by users. Two generic houses represent average volume (408 m³) and flow
- 32 information in summer or fall/spring that has been compiled from a large number of
- residences. One generic house has a bedroom as the first zone and the remainder of the house
- 34 as the second zone. The other, with the same total volume as the first, has a kitchen as the
- 35 first zone and the remainder of the house as the second zone. The features of the generic
- 36 houses are noted in the Exposure Factors Handbook (US EPA, 1997).

37 Remarks

- 38 The user's guideline listing good examples enable risk assessors to conduct the exposure
- 39 assessment quite easily within MCCEM. In addition, MCCEM contains a database of various
- 40 default house data that are needed to complete each calculation such as air-exchange rates,
- 41 geographically based inter-room air flows, and house/room volumes. However, the so many
- data parameters might cause a confusion to risk assessors who aim to evaluate exposure for a
- 43 typical population at the first Tier approach.
- The MCCEM model is available via http://www.epa.gov/oppt/exposure/pubs/mccem.htm

INTERA – Cefic LRI Program

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2 The INTERA computational platform is a web-based computer program that was developed in

- 3 the framework of the CEFIC Long-range Research Initiative (LRI) funded INTERA project. It
- 4 was developed to enable the exposure assessment of compounds in indoor settings over the
- 5 "full-chain". The program offers a number of exposure models and a database containing
- 6 several types of data. The data includes human physiological parameters, emission data from
- 7 consumer products and from indoor concentration levels, and building characteristics. An
- 8 exposure assessment in INTERA is a step-by-step process, starting with the basic information
- 9 on chemical, products and the exposed population. Subsequently, suitable models are selected
- 10 per exposure route, according to the product usage scenario.
- All models for oral, dermal and inhalation route can be considered as higher tier models where
- 12 for example release rates from products or sources is a requested input in the models.
- 13 Moreover, most of the equations have been set in such way that they describe the internal
- 14 exposure all as a function of time.
- 15 The model needs information on the substance of interest, the exposed subjects and
- 16 residential settings and on the specific scenario. A scenario does not necessarily involve a
- 17 consumer product or article and therefore the information requested is dependent on the
- scenario, following a step-wise approach. The input requested and not included in the database
- 19 are substance-material specific release factors, such as the migration/release rates from
- 20 products (oral and dermal), emission rates (inhalation), and concentrations in matrices
- 21 (dermal and oral), this means that no default values are available for these parameters.
- 22 Fraction absorbed from ingested quantities may also be requested from the user. Data
- 23 included in the databases are human physiological data, residential settings and certain
- 24 scenario parameters such as exposure durations, frequencies of use and skin areas contacted.
- 25 For a number of substances, exposure information, e.g. indoor air concentrations of volatile
- 26 substances are included in the database.
- 27 The output is given in amount of chemical taken up by the body as a function of time (µg/h or
- in mg/kg bw/d). Input and output can be presented as distributions. The user has the option to
- 29 generate graphical representations of the exposure.
- 30 The driving factors for exposure are the concentrations in the matrices, the migration from the
- 31 matrices and the duration of contact. If the internal exposure is calculated using a fraction,
- 32 e.g. in case of ingestion where not all substance ingested will be taken up, then the fraction
- 33 also is considered a driving factor for exposure. One of the basic assumptions is that the
- 34 release from the matrices is constant over time, once contacted. In other words, the release of
- 35 a substance is considered independent of its concentration in the matrix and no depletion of
- 36 substance takes place (oral and dermal exposure). Diffusion process in materials is not taken
- into account. Regarding the air concentration, it is assumed that equilibrium will be reached
- 38 immediately.
 - Uncertainty analyses are possible, since the use of distributions and Monte-Carlo Markov chain technique.
 - 41 The INTERA computational platform is currently online at: http://www.intera.cperi.certh.gr/
 - 42 The platform contains a user guide from which information can be obtained about the platform
 - 43 itself and the data and models that are included.

BAMA/FEA Indoor Air model

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The BAMA Indoor Air Model is a simple but powerful tool, developed by British Aerosol Manufactures Association (BAMA) and European Aerosol Federation (FEA) that can be used to predict the concentration of aerosol components within a room after a suitable time interval after spraying. The Model can be used to rapidly generate predicted air concentrations for a wide range of use conditions for spray products. The model is particularly useful for generating time weighted values for estimating longer term exposure, for example longer than 90 minutes. Validation work shows that by that time, the volatile ingredients and aerodynamically stable particle (less than 10 μm) are well mixed in modelled volume (i.e. room) and larger particle have dropped out. Therefore, the model can be used to generate reliable estimates for exposure lasting more than 2 hours.

- 12 On the other hand, the tool has an important limitation when applied to the assessment of 13 short term exposure, for example during the application of the spray product, since the model 14 assumes an immediate and perfect mixing within the modelled room volume; in particular, for 15 products sprayed away from the body or on horizontal surfaces, BAMA model is likely to over-16 predict short term exposure because the breathing zone will be outside the spray clouds. On 17 the contrary, for products sprayed at the body or on vertical surfaces, the breathing zone will be in the spray cloud and the model will lead to an underestimation of the short term 18 19 exposure.
- 20 Key parameters to run the model are: room volume, ventilation rate, ingredient fraction, 21 discharge rate of the spray, duration of the spray.
- The output parameters are different averaged air concentrations: 15 minutes, 4, 8, 16 and 24 hours averaged air concentrations in the room. Also exposure profile of the air concentration is given by the tool; it is also possible to model multiple spray events during one day.
- 25 The model is freely available at: http://www.bama.co.uk

Appendix R.15.4 Development of ECETOC TRA Consumer tool and comparison with Tier 1 Algorithms

ECETOC TRA Consumer tool version 2 (ECETOC, 2009) was the result of a substantial revision of the previous version TR 93 [17]. TRA version 2 combined the conservatism of first Tier assessment tool with the expert knowledge documented in the RIVM fact sheets (see RIVM, http://www.rivm.nl/en/healthanddisease/productsafety/ConsExpo.jsp#Fact_sheets and Section R.15.4.3). It used default values taken from the RIVM fact sheets (except for the cases when no such value is available); main differences between ECETOC TRA consumer version 2 and the Tier 1 algorithms documented in Section R.15.3 can be summarised as follow:

- For the inhalation route the ECETOC algorithm includes a parameter for modifying the fraction of substance released to air for substances with a vapour pressure < 10 Pa in non-spray applications.
- For exposure from articles via the dermal route, the assumed thickness of layer in contact with skin is reduced from 0.01 cm (widely accepted default for mixtures and used already in EU existing chemicals risk assessment procedures) to 0.001 cm in order to take account of the reduced mobility of substances in an article matrix. The figure 0.001 cm was chosen based on expert judgement, as no scientific data was available.

The ECETOC TRA Consumer tool version 2 aimed to balance the Tier 1 assumptions and the generic applicability to a wide range of product categories in order to deliver reasonably plausible outcomes. For each product use category a rationale is available that justifies the basis of the default values and assumptions.

In 2012 ECETOC released the TRA Consumer version 3.0 where some refinement of the exposure have been made possible, while keeping the same structure (based on product or article category and subcategories) and algorithm of the version 2; these refinements are summarised here below:

- The calculation of saturated vapour concentration as the upper bound value of concentration of substance in air of the room is applied to all of the inhalation scenarios for non-spray products.
- Inhalation exposure estimates account for basic ventilation (default value of 0.6 air exchange per hour) in the standard room (20 m³).
- Dermal and oral transfer factors have been introduced to potentially reduce dermal and oral exposure. By default both transfer factors are set to 1, assuming 100% of the substance is available for oral and dermal exposure; users with relevant, specific information or knowledge on the pattern of transfer of a substance from a product or article matrix to skin or mouth might reduce oral or dermal exposure by means of transfer factors.

In 2014 ECETOC released the TRA Consumer version 3.1, which incorporates all the changes mentioned above, with the possibility (already present in version 3.0, but now revised) for the user to create a new (sub)product or article category, setting all input parameters. This option has been introduced to support the creation of SCED (Specific Consumer Exposure Determinants) which are described in detail in Section R.15.2.6. The user, only while creating a new (sub)category, can also set the following new input parameters having an impact on the calculation of consumer exposure:

- The inhalation transfer factor (by default set to 1) in order to reduce the amount released to air during the use of the product or article; the user is advised to deviate from the default only when specific information supporting the choice is available.
- Select the outdoor scenario for consumer exposure; if selected, the "room" volume (100 m³) and ventilation (2.5 air exchanges per hour) are increased compared to the

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- indoor scenario, reducing the estimated air concentration.
- For short term and infrequent uses, is now possible to introduce a frequency over the year less than once per day, reducing the exposure estimation according to a factor depending on bands set by ECETOC. These bands are defined as follow: frequent uses (at least once a week, no reduction of exposure), occasional uses (between once a week and once a month), infrequent uses (between once a month and once every six month) and very infrequent uses (no more than once every six month).

The differences between generic Tier I algorithms (Section R.15.3) and ECETOC TRA Consumer tool (v.2, v.3.0 and v.3.1) are summarised in the table below.

Table R.15- 10: Differences between Tier I algorithms and ECETOC TRA consumer

Route of exposure	ECETOC TRA v. 2	ECETOC TRA v. 3.0	ECETOC TRA v. 3.1
Inhalation	Modifying factor for inhalation according to VP bands for VP <10 Pa	Modifying factor for inhalation according to VP bands for VP <10 Pa	Modifying factor for inhalation according to VP bands for VP < 10 Pa
		Basic ventilation rate taken into account to reduce air concentration in standard room	Basic ventilation rate taken into account to reduce air concentration in standard room
		Upper bound for air concentration based on saturated Vapour concentration	Upper bound for air concentration based on saturated Vapour concentration
			Inhalation transfer factor introduced. Unless default is used (=1), this reduces air concentration*
			Possible to select that use takes place outdoor, which reduces air concentration compared to indoor uses*

Route of exposure	ECETOC TRA v. 2	ECETOC TRA v. 3.0	ECETOC TRA v. 3.1
			Reduction of the exposure by frequency over the year according to frequency bands (occasional, infrequent, very infrequent)*
Dermal	For exposure to article, the thickness of layer is set to 0.001 instead to 0.01	For exposure to article, the thickness of layer is set to 0.001 instead to 0.01	For exposure to article, the thickness of layer is set to 0.001 instead to 0.01
		Dermal transfer factor introduced. Unless default is used (=1), this reduces dermal dose	Dermal transfer factor introduced. Unless default is used (=1), this reduces dermal dose
			Reduction of the dose by frequency over the year according to frequency bands (occasional, infrequent, very infrequent)*
Oral		Oral transfer factor introduced. Unless default is used (=1), this reduces oral dose	Oral transfer factor introduced. Unless default is used (=1), this reduces dermal dose
			Reduction of the dose by frequency over the year according to frequency bands (occasional, infrequent, very infrequent)*

^{*} Only possible when creating new (sub)product or article category

Appendix R.15.5 Demonstration of control of risks for Articles

Tier 1 algorithms to calculate exposure to substances in articles via all routes are reported and analysed in Section R.15.3, while more refined exposure estimation models are reported in Section 15.5. The aim of this appendix is to complete the information on exposure estimation already provided in the main text.

Dermal exposure to a substance migrating from an article

- 9 The exposure calculation will involve estimating the amount of substance which will
- 10 migrate from the area of the article in contact with skin during the time of contact (for a
- screening assumption, consider 24 hrs). The essential parameters used for this model
- 12 are

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- Weight fraction compound: the fraction of the compound in the total product
- 14 Amount of product: the total amount of product applied to the skin
- 15 The surface area of the exposed skin
- 16 The migration rate of the substance
- 17 The contact time of the substance
- 18 Skin contact factor (set at 1 for default), a factor that can be used to account for the fact
- that the product is only partially in contact with the skin.
- 20 Examples of such potential exposure situations are skin contact with substances in
- 21 textiles see [18] for details or printing ink from a newspaper or magazine. For migrating
- 22 substances, only a fraction of the total amount of substance on the skin is able to reach
- 23 the skin. It should be noted that it should be checked whether the estimated daily
- 24 uptake exceeds the theoretical maximum. This maximum can be derived from the
- amount of product used (g), the concentration of the substance (g.g⁻¹) in the product,
- 26 and the use frequency (d⁻¹). Extractability in simulated body fluids for several classes of
- 27 dyestuffs and different fabric types has been evaluated by [19]).
- 28 The dermal load is calculated as:

$$L_{der} = \frac{Q_{prod} \cdot Fc_{prod} \cdot Fc_{migr} \cdot F_{contact} \cdot T_{contact} \cdot 1000}{A_{skin}}$$

In case a surface density Sd_{prod} for an article is available (in mass per unit area), the equation reverts to:

$$L_{der} = SD_{prod} \cdot Fc_{prod} \cdot Fc_{migr} \cdot F_{contact} \cdot T_{contact}$$
 Equation R.15- 11

3 The external dermal dose in mg per kg of bodyweight is then calculated as:

$$D_{der} = \frac{L_{der} \cdot A_{skin} \cdot n}{BW}$$

Equation R.15-7

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Table R.15- 11: Explanation of symbols for dermal scenario B

Input parameter	Description	Unit
Q_{prod}	Amount of product used	[9]
Fc _{prod}	Weight fraction of substance in product	[g·g _{prod} -1]
Fc _{migr}	Rate (fraction) of substance migrating to skin per unit time	[g·g ⁻¹ .t ⁻¹]
F _{contact}	Fraction of contact area for skin, to account for the fact that the product is only partially in contact with the skin (default = 1)	[cm ² .cm ⁻²]
T _{contact}	Contact duration between article and skin	[d]
SD _{prod}	Surface density (mass per unit area)	[mg·cm ⁻²]
A _{skin}	Area of contact between product and skin	[cm²]
C _{der}	Dermal concentration of substance on skin	[mg·cm ⁻³]
BW	Body weight	[kg]
n	Mean number of events per day	[d ⁻¹]

Output	Description	Unit
L _{der}	Dermal load on the skin that is expected due to migration	[mg.cm ⁻²]
D _{der}	Dermal dose per day and body weight	[mg·kg _{bw} -¹·d ⁻¹]

Other sources of information

For some classes of articles, release from articles during their service life is given in relevant OECD emission scenario documents (e.g., on plastic additives; OECD 2004). Although developed to estimate release rates to the environment, they can also serve as source of information to estimate consumer exposure (e.g. to estimate releases to indoor air).

ECHA has recently published an illustrative example on consumer exposure to substances in article²⁰. While the example focuses on a specific semivolatile substance in building material, a general framework guiding the assessor to build exposure scenario and performing consumer exposure estimation for substances in articles is also provided.

²⁰ http://echa.europa.eu/documents/10162/13632/csr sia exposure examples en.pdf

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1 Appendix R.15.6 Further information on assessment of 2 children's exposure

A decision tree on when to assess children's exposure was presented at an OECD workshop in 2013 in the Netherlands [20]. This preliminary decision tree followed an information request within the OECD-Task Force on exposure assessment with respect to children's exposure assessment (see survey with regard to children's exposure assessment [21]). The workshop on children's exposure to chemicals focused on 1) when children specific exposure assessment should be performed and what kind of products should be focused on, and 2) how to make a progress on identified possible projects, which led to the development of the decision tree.

Work is ongoing to refine the decision tree, and to include case studies to be able to test and further improve the decision tree. This project within the OECD-TFEA continues in 2015-2016, and will be published thereafter.

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This children's exposure decision tree might help deciding if children's exposure should be addressed separately or not in a risk assessment. It could be considered that for certain product/product categories or certain substances the exposure and risk assessment for adults covers the risk of children as well. Currently, there is no structured approach for children's exposure assessment within risk assessment. Based on their behaviour and body characteristics, the exposure of children may be different from adults. The decision tree is aiming to guide this, by provide a checklist.

- Questions to be asked are:
- ➤ Is the product/article (category) intended for use by consumers?
- > Is the product specifically meant for children -> if yes, include a separate exposure assessment for children!
- > Could consumers come into contact with the product? Directly or indirectly? If yes, please check if it would be relevant to children's exposure?
- Checklist for relevance of (in)direct exposure to children (different from adults):
 - inhalation:
 - Vapour pressure
 - Duration
 - Frequency
 - o Emission from product/article
 - oral
 - o Duration
 - Frequency
 - Leaching
 - Oral exploration/mouthing
 - o Dust
 - dermal
 - Duration
 - Frequency
 - Leaching
 - Crawling
 - Surface area
- When relevant → include an exposure assessment for children and adult users.
- The challenges for children specific exposure assessment are:

- 1) when and how to assess the use of similar consumer products as adults (e.g. cosmetic products, textiles, adhesives, etcetera) in children and/or adolescents and
- 2) consumer product surveys predominantly focused on adults, which do not necessarily reflect children's behaviour.
- 3) Reasonable foreseeable use or not, e.g. mouthing of objects not meant for mouthing.